



# FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

## Federal Demonstration Partnership (FDP)

An activity convened by the Government-University-Industry  
Research Roundtable (GUIRR) of the National Academies of  
Sciences, Engineering and Medicine

January 8, 2018

Larry Sutter

Co-Chair Membership Committee

Professor  
Michigan Technological University  
Department of Materials Science and Engineering



# New Member Orientation

- **WIFI For the meeting rooms**

**SSID: HYATT-MEETING**

**Password: FDP@18**



# New Member Orientation

- Welcome to the FDP!
- A brief introduction to the FDP

## Top 10 List

- Additional information included at the end



# New Member Orientation

- **Question #1 – Who are the key people?**





# Key People: Leadership

**Richard Seligman**

**Chair**

Associate Vice President for  
Research Administration

**Caltech**



**Michele Masucci**

**Vice Chair**

Professor, Department of Geography and Urban Studies  
Vice President for Research

**Temple University**





# Key People: Executive Staff

**David Wright**

**Executive Director**



**Claudette Baylor-Fleming**

**Administrative Coordinator**





# New Member Orientation

- **Question #2 – When and where do we meet?**



# When & Where

- **Question #2 – When and where do we meet?**
- Meet three (3) times a year: September, January, May
  - Some times Sunday – Tuesday... Sometimes Wednesday – Friday
  - Always in Washington DC
- Future Dates:
  - 2018: Jan 7-9, May 9-11, Sept 5-7 *Hyatt Capital Hill*
  - 2019: Jan 23-25, May 19-21, Sept 22-24 *Omni Shoreham*



# New Member Orientation

- **Question #3 – What is the FDP mission?**



# What is the FDP?

## • Question #3 – What is the FDP mission?

*Researchers doing science, not administration*

- Association of federal agencies, academic and nonprofit research institutions, and research policy organizations that work together in a collaborative initiative to streamline the administration of federally sponsored research
- Improving the productivity of research without compromising its stewardship
- A unique forum for individuals from universities and nonprofits to work collaboratively with federal agency officials to improve the national research enterprise



# New Member Orientation

- **Question #4 – What are FDP activities?**



# Activities

- **Question #4 – What are FDP activities?**
- At its regular meetings, faculty and administrators talk face-to-face with decision-makers from agencies that sponsor and regulate research.
- Test new ways of doing things in the real world before putting them into effect.

## DEMONSTRATIONS





# Activities

- **Question #4 – What are FDP activities?**
- At its regular meetings, faculty and administrators talk face-to-face with decision-makers from agencies that sponsor and regulate research.
- Test new ways of doing things in the real world before putting them into effect.
- Information exchange – common practices shared.



# New Member Orientation

- **Question #5 – Who are FDP members?**



# Membership

- **Question #5 – Who are FDP members?**
- 154 institutional recipients of federal funds
  - Includes 38 new members (Phase VI)
  - Includes 26 Emerging Research Institutions
- 10 Federal Agencies
  - NSF, NIH, ONR, USDA, AFOSR, ARO, AMRMC, NASA, EPA and DHS



# New Member Orientation

- **Question #6 – What are some examples of success?**



# History of Success

- Expanded Authorities
- FDP Subaward Agreement
- Standard Government-wide Terms and Conditions
- Faculty Burden Survey
- FDP Clearinghouse for FCOI (now Expanded Clearinghouse)
- ARRA Statistics
- FDP as key sounding board for Research Business Models  
Subcommittee of the Committee on Science, [grants.gov](http://grants.gov),  
[research.gov](http://research.gov), etc.
- Congressional Testimony on Administrative Burdens

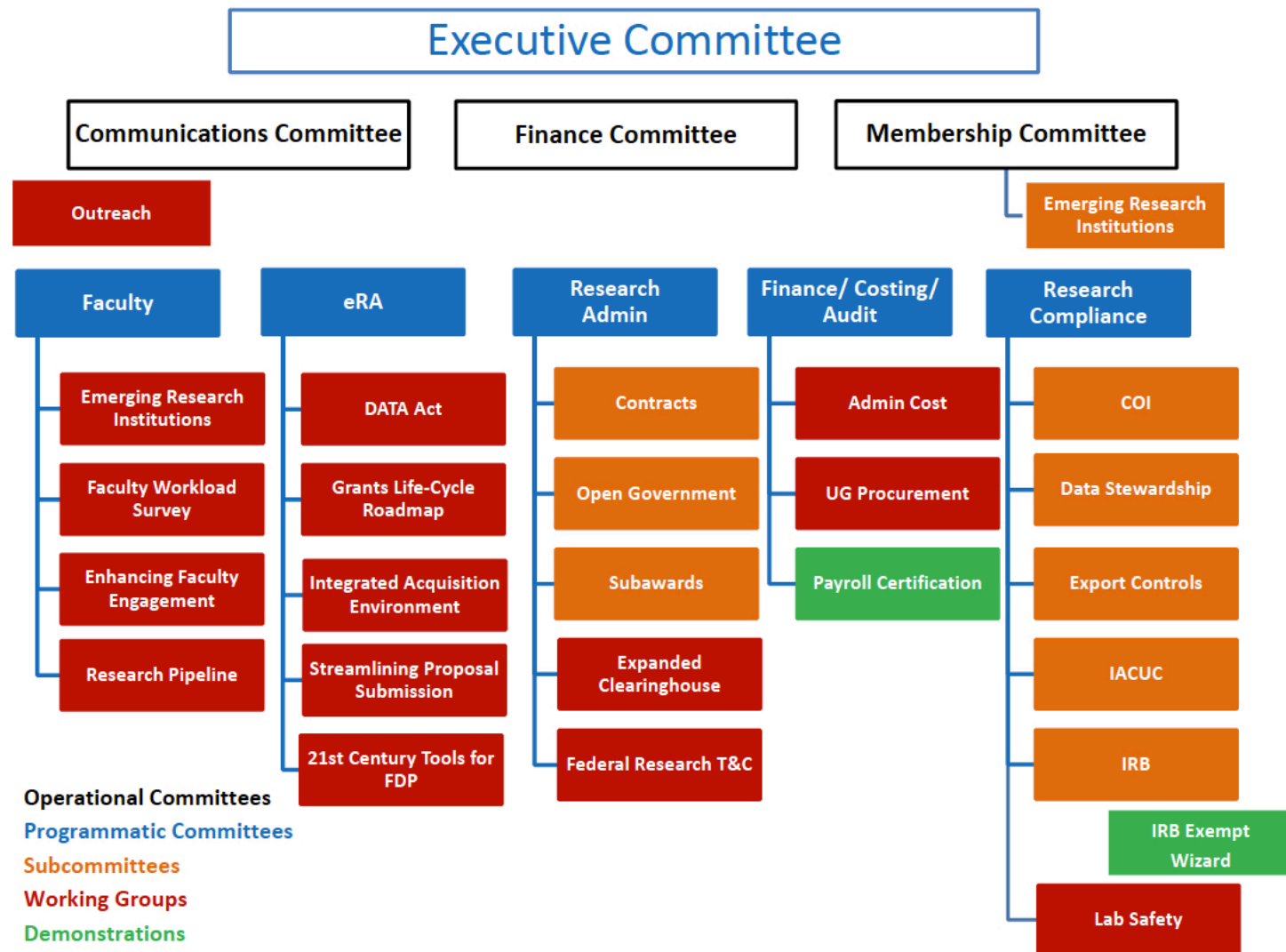


# New Member Orientation

- **Question #7 – How is FDP organized?**



# Organizational Structure



Updated 1/7/16



# New Member Orientation

- **Question #8 – What is expected of my institution as a member?**





# Expectations of Members

Institutional representatives are expected to:

- Attend regular FDP meetings
- Participate on **committees**
- Participate on **working groups and task forces**
- Serve as **advocates** for their institutional colleagues

Expenses for  
attendance are borne  
by the institutions



# Participation

## ATTEND THE MEETINGS

Meeting formats vary to fit function

- Broad plenary sessions
- Break out sessions for committee, working groups, etc.
- Networking opportunities

## BE IN THE KNOW

Communication is facilitated through

- **FDP Website and Listservs (look for “Mailing Lists”)**
- Announcements at meetings
- Meeting reports



# New Member Orientation

- **Question #9 – How do I get more involved?**



# Getting Involved

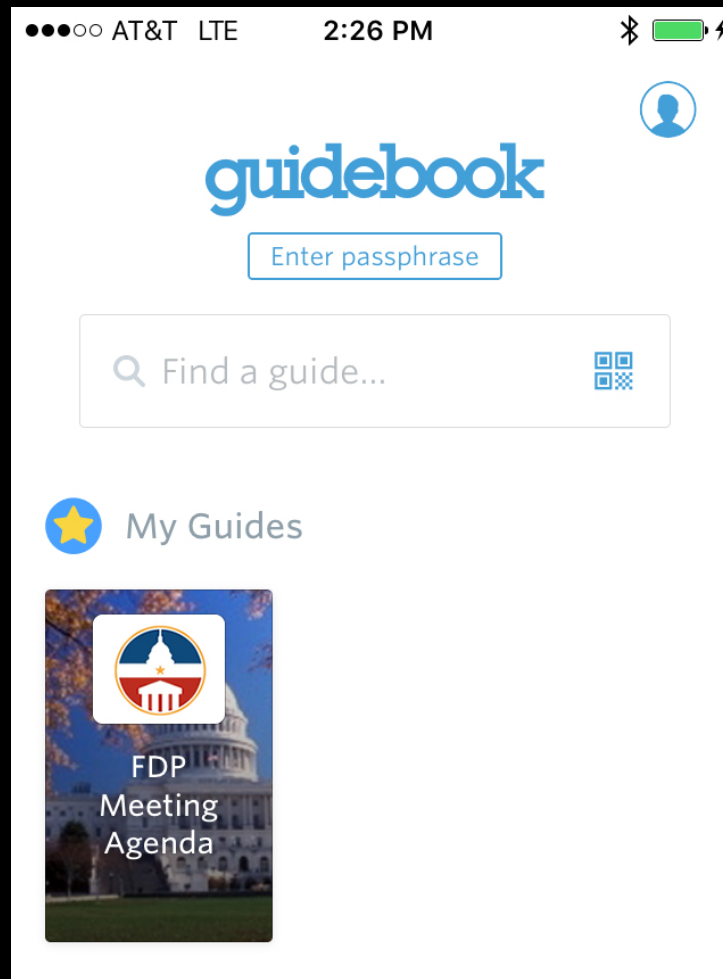
- **Question #9 – How do I get more involved?**
- Identify key committee
  - Attend
  - Contribute
  - Volunteer



# Some Current Activities

- Conduct third Faculty Workload Survey
- (FACT) Faculty-Admin Engagement
- Expanded clearinghouse for sub-award information
- Research Pipeline
- Uniform Guidance issues
- Smart IRB

# passcode -> fdpagenda





# New Member Orientation

- **Question #10 – Anything else?**



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# New Member Orientation

**SEE ADDITIONAL  
INFORMATION APPENDED TO  
THIS PRESENTATION**



# Questions?

## **Membership Committee Co-Chairs**

Larry Sutter  
Michigan Tech  
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Katherine Kissmann  
Texas A&M University  
kkissmann@tamu.edu

Charisse Carney-Nunes  
NSF  
ccarney@nsf.gov

## **FDP Staff Contact**

David Wright  
FDP Executive Director  
dwright@nas.edu

Claudette Baylor-Fleming  
FDP Administrative Coordinator  
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# HISTORY OF THE FDP



Phase VI: 2014-2020



Phase V: 2008-2014

Phase IV: 2002-2008



Phase III: 1996-2002

Phase II: 1988 Federal Demonstration Partnership



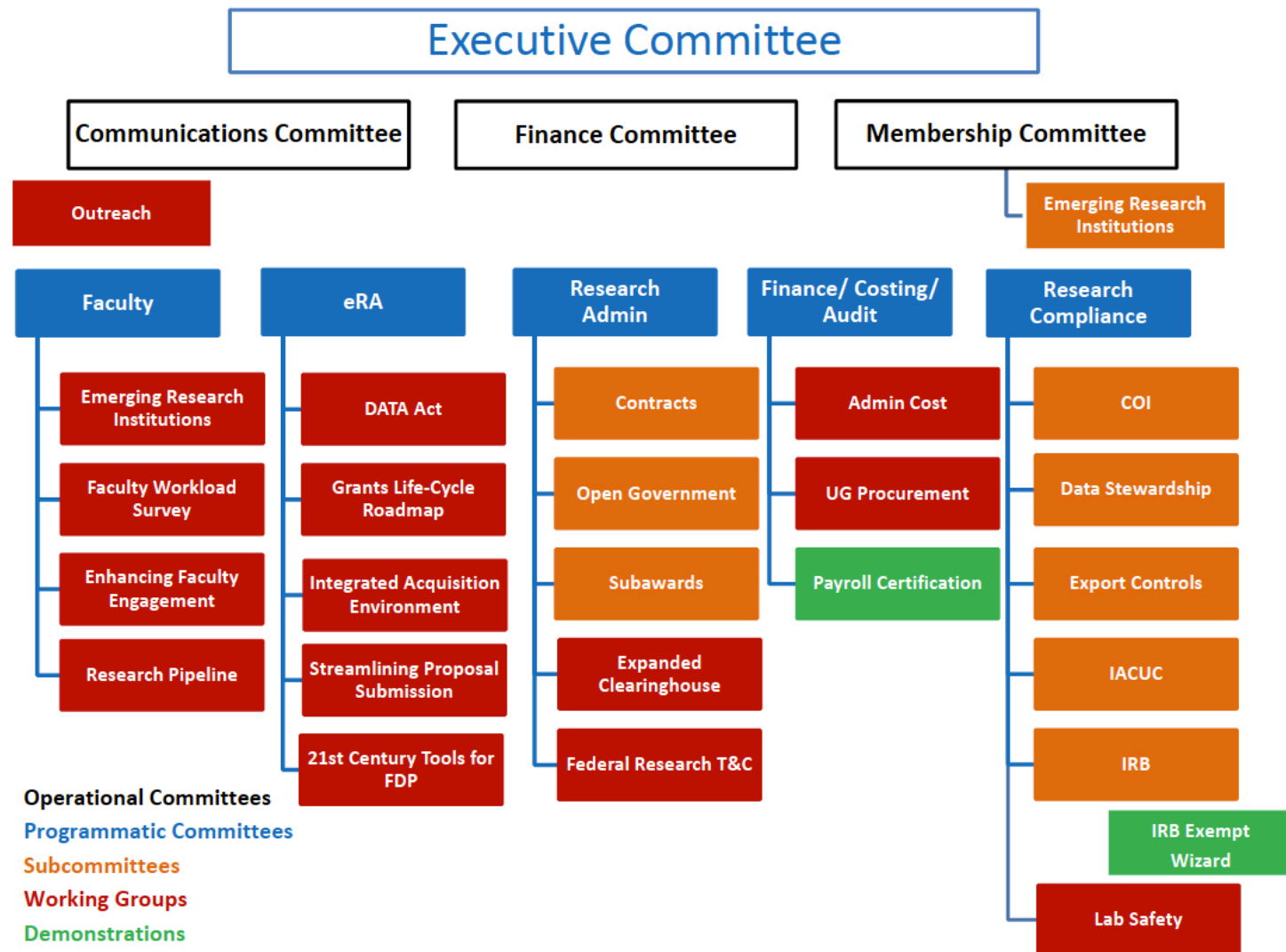
Phase I: 1986 Florida Demonstration Project



Pre-FDP: 1985 Hearing and Report by GUIRR



# Organizational Structure





# EXECUTIVE COMMITTEE

- **Provides general direction of FDP activities**

- Two (2) institutional members (one faculty, one research administrator)
  - The research administrator representative on the Executive Committee assumes the role of Chair for a three year period
- Two (2) federal agency representatives (one program and one administrative)
- One (1) representative from the National Academies Government-University-Industry Research Roundtable
- The FDP Executive Director
- One (1) senior federal science official (appointed by the Office of Science and Technology Policy)
- Co-chairs from each of the FDP operational committees



# MEMBERSHIP COMMITTEE

- **Responsible for Membership Issues Affecting FDP Activities**
  - Conducts new attendee activities at meetings
  - Evaluates/recommends requests for additional affiliate membership
  - Encourages increased federal participation
  - Facilitates transition process for new FDP phases
  - Oversees nomination/election procedures
  - Supports ERI's participation
  - Manages Annual Reporting process



# FINANCE COMMITTEE

- **Responsible for FDP Financial Issues**
  - Reviews annual budgets
  - Sets financial-related policies and procedures
  - Monitors the payment of dues



# COMMUNICATIONS COMMITTEE

- **Responsible for the FDP Public Image**
  - Approves FDP publications (printed or electronic)
  - Provides direction for the FDP web site
  - Oversees the FDP Biannual Report
  - Compiles/disseminates meeting proceedings
  - Develops/distributes outreach materials





# FACULTY COMMITTEE

- Serves as the forum for faculty representatives to discuss and develop faculty input on all FDP activities and FDP administration.
- The Committee meetings are used to share information from other operational and programmatic committees.
- Opportunity for faculty to incubate and develop new activity proposals.
- As needed, the Faculty Committee develops surveys of faculty at member institutions to garner necessary information to direct proposed activities.



# ERA COMMITTEE

- Identifies opportunities to reduce administrative burden in areas of electronic processes and communications with federal agencies.
- Informs the federal e-grants activities by providing institutional input.
- Support the development of improved electronic interfaces between the government and the research community.
- Provides IT consultation for demonstrations, pilots, and committees as requested.
- Examines ways for FDP to function in the digital age.



# ERA COMMITTEE

- ERA Committee Activities
  - JAD Joint Application Design team with Grants.gov
  - SciENCv Science Experts Network Curriculum Vitae (Researcher Profile Portal)
  - Requirements for Research Management Systems
  - Survey on Applicant Investment in Grants.gov
  - Grant Reporting Information Project (GRIP)
  - Rich Media in Grant Applications



# RESEARCH ADMINISTRATION COMMITTEE

- Identifies opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability.
- This includes contracting, proposal, award and subaward requirements and processes.
- Concerned with general research administration areas not targeted for coverage by other standing committees.



# FINANCIAL, AUDIT & COSTING POLICY COMMITTEE

- This subcommittee explores opportunities to simplify financial, audit, or cost policies without compromising accountability.
- Current initiatives
  - Administrative Cost Working Group
  - Project Certification
  - Procurement Standards Working Group



# RESEARCH COMPLIANCE COMMITTEE

- Reviews existing and new requirements imposed by federal regulations related to:
  - Human research participant protections
  - Animal use and care
  - Conflicts of interest (individual and institutional)
  - Objectivity in research
  - Export controls
- Efforts focus on
  - Identification and harmonization of requirements across federal agencies
  - Reduction of redundancies and unnecessary burdens
  - Identification of good practices for implementing the requirements<sup>42</sup>