FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Federal Demonstration Partnership (FDP)

An activity convened by the Government-University-Industry Research Roundtable (GUIRR) **of the National Academies of Sciences, Engineering and Medicine**

September 23, 2019

Larry Sutter

Co–Chair Membership Committee

Professor
Michigan Technological University
Department of Materials Science and Engineering

New Member Orientation

- **WIFI For the meeting rooms**

   SSID: Omni Meeting

   Password: FDPSep19

New Member Orientation

- Welcome to the FDP!

- A brief introduction to the FDP

Top 10 List

- Additional information included at the end

New Member Orientation

- **Question #1 – Who are the key people?**

Key People: Leadership

Richard Seligman
Chair

Associate Vice President for Research Administration

Caltech

Michele Masucci
Vice Chair

Professor, Department of Geography and Urban Studies
Vice President for Research

Temple University

Key People: Executive Staff

David Wright

Executive Director

New Member Orientation

- **Question #2 – When and where do we meet?**

When & Where

- **Question #2 – When and where do we meet?**

- Meet three (3) times a year: September, January, May
  - Some times Sunday – Tuesday… Sometimes Wednesday – Friday
  - Always in Washington DC

- Future Dates:

  2019: Sept 22-24 *Omni Shoreham*

  2020: Jan 8-10, May 20-22, Sept 9-11 *Marriott Wardman Park*

  Will be at *Marriott Wardman Park* for next 6 years *(2 exceptions)*

- **Question #3 – What is the FDP mission?**

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  Researchers doing science, not administration

- <u>Association of federal agencies, academic and nonprofit research institutions, and research policy organizations</u> that work together in a collaborative initiative to streamline the administration of federally sponsored research

- <u>Improving the productivity of research without compromising its stewardship</u>

- A <u>unique forum</u> for individuals from universities and nonprofits to work collaboratively with federal agency officials to improve the national research enterprise

- **Question #4 – What are FDP activities?**

Activities

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- At its regular meetings, faculty and administrators talk face-to-face with decision-makers from agencies that sponsor and regulate research.

- Test new ways of doing things in the real world before putting them into effect.
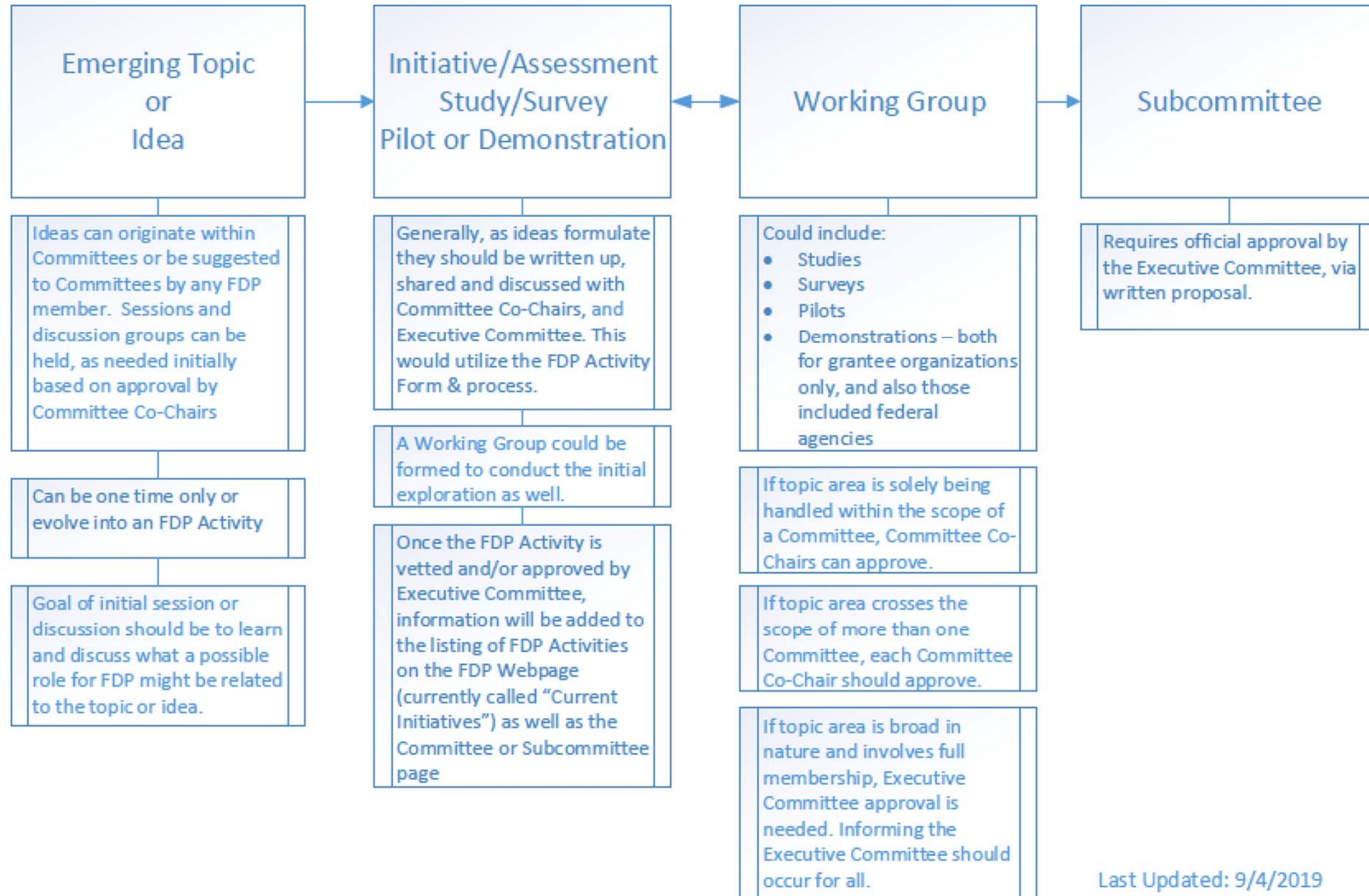
DEMONSTRATIONS

Activities

- **Question #4 – What are FDP activities?**

- At its regular meetings, faculty and administrators talk face-to-face with decision-makers from agencies that sponsor and regulate research.

- Test new ways of doing things in the real world before putting them into effect.

- Other Activities – Study, Initiatives, Pilot Project

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THE INITIATION AND EVOLUTION OF AN FDP ACTIVITY

Emerging Topic or Idea → **Initiative/Assessment Study/Survey Pilot or Demonstration** ↔ **Working Group** → **Subcommittee**

Emerging Topic or Idea

Ideas can originate within Committees or be suggested to Committees by any FDP member. Sessions and discussion groups can be held, as needed initially based on approval by Committee Co-Chairs

Can be one time only or evolve into an FDP Activity

Goal of initial session or discussion should be to learn and discuss what a possible role for FDP might be related to the topic or idea.

Initiative/Assessment Study/Survey Pilot or Demonstration

Generally, as ideas formulate they should be written up, shared and discussed with Committee Co-Chairs, and Executive Committee. This would utilize the FDP Activity Form & process.

A Working Group could be formed to conduct the initial exploration as well.

Once the FDP Activity is vetted and/or approved by Executive Committee, information will be added to the listing of FDP Activities on the FDP Webpage (currently called "Current Initiatives") as well as the Committee or Subcommittee page

Working Group

Could include:
- Studies
- Surveys
- Pilots
- Demonstrations – both for grantee organizations only, and also those included federal agencies

If topic area is solely being handled within the scope of a Committee, Committee Co-Chairs can approve.

If topic area crosses the scope of more than one Committee, each Committee Co-Chair should approve.

If topic area is broad in nature and involves full membership, Executive Committee approval is needed. Informing the Executive Committee should occur for all.

Subcommittee

Requires official approval by the Executive Committee, via written proposal.

Last Updated: 9/4/2019

- **Question #5 – Who are FDP members?**

Membership

- **Question #5 – Who are FDP members?**

- 154 institutional recipients of federal funds

  - Includes 38 new members (Phase VI)

  - Includes 26 Emerging Research Institutions

- 10 Federal Agencies

  - NSF, NIH, ONR, USDA, AFOSR, ARO, AMRMC, NASA, EPA and DHS

New Member Orientation

- **Question #6 – What are some examples of success?**

History of Sucess

- Expanded Authorities

- FDP Subaward Agreement

- Standard Government-wide Terms and Conditions

- Faculty Burden Survey

- FDP Clearinghouse for FCOI (now Expanded Clearinghouse)

- FDP as key sounding board for Research Business Models Subcommittee of the Committee on Science, grants.gov, research.gov, etc.

- Congressional Testimony on Administrative Burdens

New Member Orientation

- **Question #7 – How is FDP organized?**

FEDERAL DEMONSTRATION PARTNERSHIP
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FDP EXECUTIVE COMMITTEE

FDP Chair: Richard Seligman; FDP Vice-Chair: Michele Masucci; FDP Federal Chair: Debbie Rafi, ONR

PROGRAMMATIC COMMITTEES | OPERATIONAL COMMITTEES

FACULTY COMMITTEE	ERI COMMITTEE	eRA COMMITTEE	RESEARCH ADMINISTRATION COMMITTEE	FINANCE, AUDIT & COSTING COMMITTEE	RESEARCH COMPLIANCE COMMITTEE	FINANCE COMMITTEE	MEMBERSHIP COMMITTEE	COMMUNICATIONS COMMITTEE
Chair: Michele Massuci Vice-Chair: Robert Nobles	Susan Anderson Jamie French, NSF	Mark Sweet TBD, Federal Rep	Lynette Arias Wade Wargo, ONR	Sara Bible Michelle Bulls, NIH	Alex Albinak Diane Dean, NIH	Kim Moreland	Jeanne Herman Larry Sutter Maria Koszalka & Charisse Carney-Nunes, NSF	Jennifer Taylor Laura McCabe

SUBCOMMITTEES

Contracts
Alex Albinak
David Mayo

Expanded Clearinghouse
Lynette Arias
Pamela Webb

Open Government: Research Admin Data
Richard Fenger
Stephanie Endy
Avinash Tembulkar, NSF

Subawards
Stephanie Scott
Amanda Hamaker
Amanda Humphrey

Animal Care and Use
Ara Tamassian
Axel Wolff, NIH

Conflict of Interest
Mary Lee
Diane Dean, NIH

Data Stewardship
Melissa Korf
Rick Ikeda, NIH

Export Controls
Melissa Korf
Rick Ikeda, NIH

Human Subjects
Deb Murphy
Jane McCutcheon

Last Updated: 8/21/2019

- **Question #8 – What is expected of my institution as a member?**

Expectations of Members

Institutional representatives are expected to:

- Attend regular FDP meetings

- Participate on **committees**

- Participate on **working groups and task forces**

- Serve as **advocates** for their institutional colleagues

Expenses for attendance are borne by the institutions

Participation

ATTEND THE MEETINGS

Meeting formats vary to fit function

- Broad plenary sessions

- Break out sessions for committee, working groups, etc.

- Networking opportunities

BE IN THE KNOW

Communication is facilitated through

- **FDP Website and Listservs (look for "Mailing Lists")**

- Announcements at meetings

- Meeting reports

New Member Orientation

- **Question #9 – How do I get more involved?**

Getting Involved

- **Question #9 – How do I get more involved?**

- Identify key committee

  - Attend

  - Contribute

  - Volunteer

Some Current Activities

- Completed the third Faculty Workload Survey

- (FACT) Faculty-Admin Engagement

- Expanded clearinghouse for sub-award information

- Foreign Influence in Research

- Uniform Guidance issues

- Data Sharing

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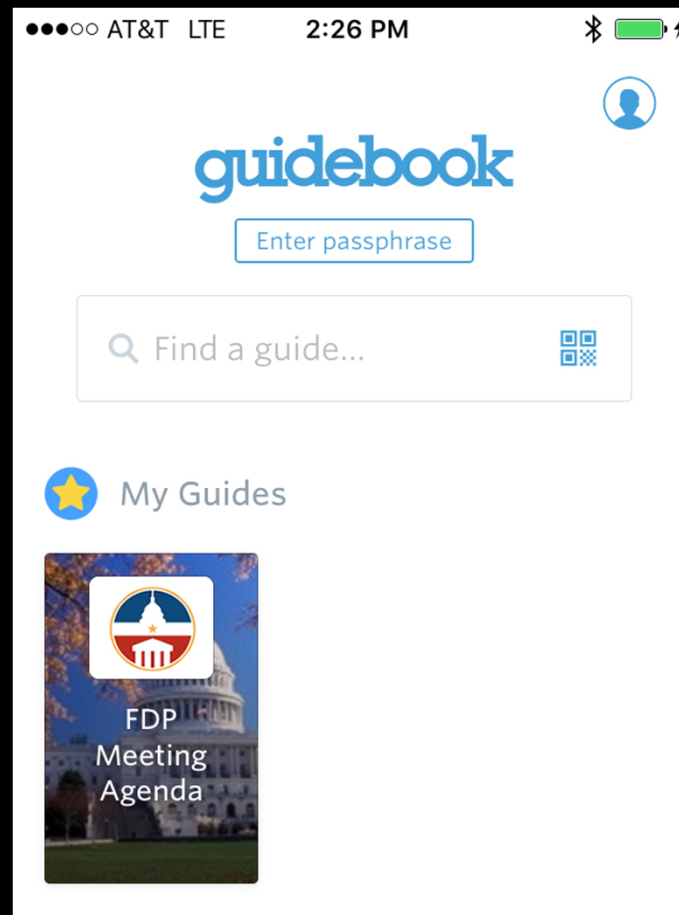
New Member Orientation

- **Question #10 – Anything else?**

New Member Orientation

- **WIFI For the meeting rooms**

  SSID: Omni Meeting

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New Member Orientation

SEE ADDITIONAL INFORMATION APPENDED TO THIS PRESENTATION

Questions?

Membership Committee Co-Chairs

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Foundation
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Larry Sutter
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FDP Staff Contact

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Lillian Andrews
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HISTORY OF THE FDP

Phase VI: 2014-2020

Phase V: 2008-2014

Phase IV: 2002-2008

Phase III: 1996-2002

Phase II: 1988 Federal Demonstration Partnership

Phase I: 1986 Florida Demonstration Project

Pre-FDP: 1985 Hearing and Report by GUIRR

EXECUTIVE COMMITTEE

- **Provides general direction of FDP activities**
  - Two (2) institutional members (one faculty, one research administrator)
    - The research administrator representative on the Executive Committee assumes the role of Chair for a three year period
  - Two (2) federal agency representatives (one program and one administrative)
  - One (1) representative from the National Academies Government-University-Industry Research Roundtable
  - The FDP Executive Director
  - One (1) senior federal science official (appointed by the Office of Science and Technology Policy)
  - Co-chairs from each of the FDP operational committees

MEMBERSHIP COMMITTEE

- **Responsible for Membership Issues Affecting FDP Activities**
  - Conducts new attendee activities at meetings
  - Evaluates/recommends requests for additional affiliate membership
  - Encourages increased federal participation
  - Facilitates transition process for new FDP phases
  - Oversees nomination/election procedures
  - Supports ERI's participation
  - Manages Annual Reporting process

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FINANCE COMMITTEE

- **Responsible for FDP Financial Issues**

    - Reviews annual budgets

    - Sets financial-related policies and procedures

    - Monitors the payment of dues

COMMUNICATIONS COMMITTEE

- **Responsible for the FDP Public Image**

  - Approves FDP publications (printed or electronic)

  - Provides direction for the FDP web site

  - Oversees the FDP Biannual Report

  - Compiles/disseminates meeting proceedings

  - Develops/distributes outreach materials

FACULTY COMMITTEE

- Serves as the forum for faculty representatives to discuss and develop faculty input on all FDP activities and FDP administration.

- The Committee meetings are used to share information from other operational and programmatic committees.

- Opportunity for faculty to incubate and develop new activity proposals.

- As needed, the Faculty Committee develops surveys of faculty at member institutions to garner necessary information to direct proposed activities.

ERA COMMITTEE

- Identifies opportunities to reduce administrative burden in areas of electronic processes and communications with federal agencies.

- Informs the federal e-grants activities by providing institutional input.

- Support the development of improved electronic interfaces between the government and the research community.

- Provides IT consultation for demonstrations, pilots, and committees as requested.

- Examines ways for FDP to function in the digital age.

ERA COMMITTEE

- ERA Committee Activities

  - JAD Joint Application Design team with Grants.gov

  - SciENcv Science Experts Network Curriculum Vitae (Researcher Profile Portal)

  - Requirements for Research Management Systems

  - Survey on Applicant Investment in Grants.gov

  - Grant Reporting Information Project (GRIP)

  - Rich Media in Grant Applications

RESEARCH ADMINISTRATION COMMITTEE

- Identifies opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability.

- This includes contracting, proposal, award and subaward requirements and processes.

- Concerned with general research administration areas not targeted for coverage by other standing committees.

FINANCIAL, AUDIT & COSTING POLICY COMMITTEE

- This subcommittee explores opportunities to simplify financial, audit, or cost policies without compromising accountability.

- Current initiatives

  - Administrative Cost Working Group

  - Project Certification

  - Procurement Standards Working Group

RESEARCH COMPLIANCE COMMITTEE

- Reviews existing and new requirements imposed by federal regulations related to:

  - Human research participant protections

  - Animal use and care

  - Conflicts of interest (individual and institutional)

  - Objectivity in research

  - Export controls

- Efforts focus on

  - Identification and harmonization of requirements across federal agencies

  - Reduction of redundancies and unnecessary burdens

  - Identification of good practices for implementing the requirements