



FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Foreign Influence – Management of Improper Influence – University Panel

Panelists:

Doug Backman, Dr. Michele Masucci, Dr. Laura
McCabe, Dr. Alice Young

Moderators – Jim Luther, Pamela Webb

May 27, 2021 (4:30 – 6:00 EST)



Management of Improper Influence - University Panel

Description – Topic: Following a brief summary of the most recent work of the FDP on this topic plus gleaning key "take-aways" from the previous day's federal panel, the thrust of this university-focused session will be two-fold; understanding the faculty perspective on the ramifications and key questions or concerns that the emerging requirements pose for investigators (whether those are federal requirements or their institutional response to those requirements); and how institutions are so far approaching this continually evolving area to date in such areas as communication, awareness, policies, and procedures.

Today's dialogue is intended to spark discussion around examples and current practices as well as what role FDP might play or activities that FDP could undertake to best support its members and the research community.



Session Overview

- Introductions and Opening Remarks
- Reactions to Yesterday's Federal Panel on Improper Foreign Influence
- Faculty Perception on Key Issues
- Administrator Perception on Key Issues
- Q&A



Plenary Speaker and Panelists

- Panelists

- Faculty

- Dr. Michele Masucci, Temple University
 - Dr. Laura McCabe, Michigan State University
 - Dr. Alice Young, Texas Tech University

- Administrator

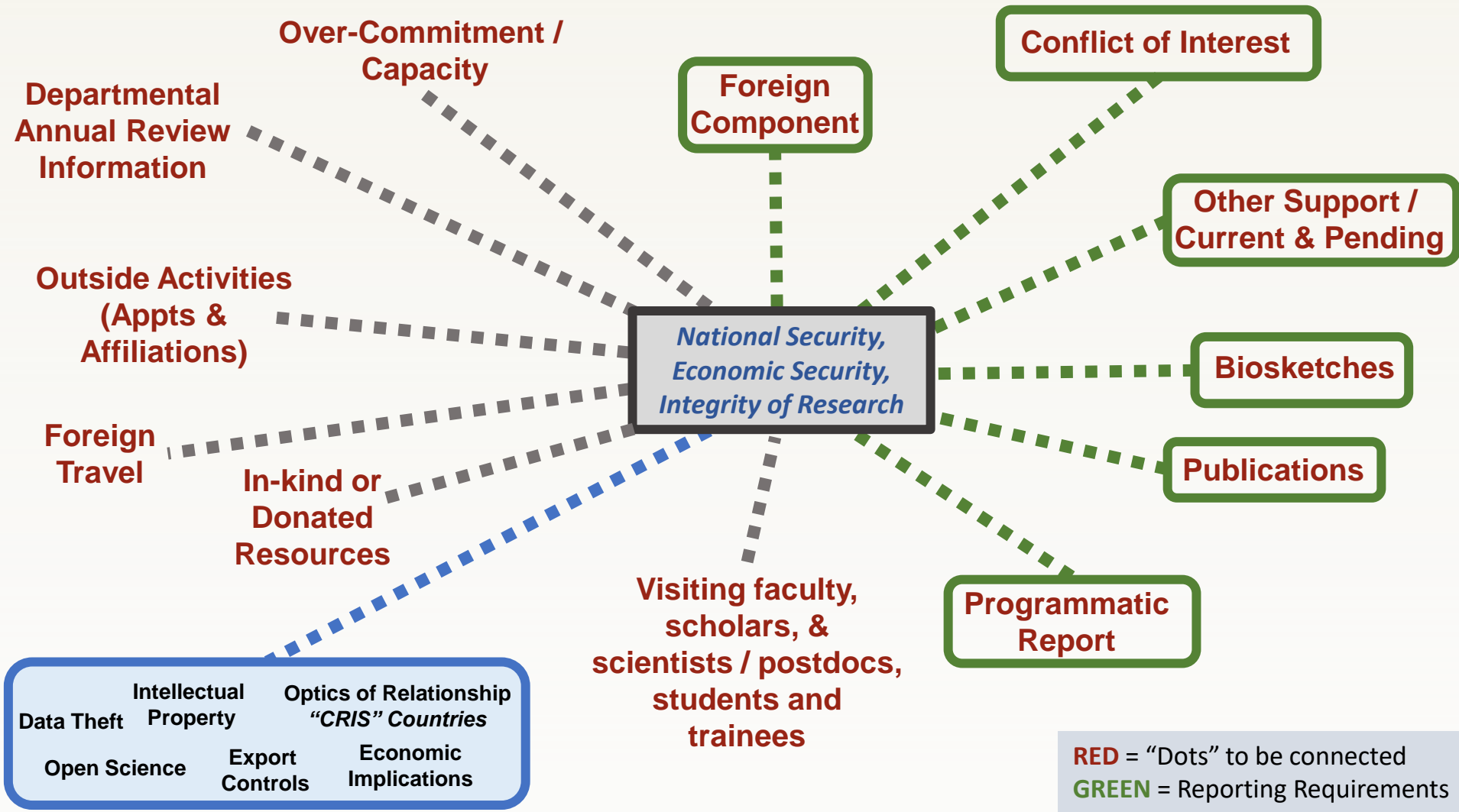
- Doug Blackman, University of Central Florida

- Moderators

- Pamela Webb, University of Minnesota
 - Jim Luther, Duke University



“Connecting the Dots”





Thought Exchange - Question

- Improper/Foreign Influence: Given the recent federal communications & regulatory updates & your implementation at your institution, what are the most pressing concerns/questions that you have in this space? [If your comment is focused on a specific agency please specify which in your thoughts]



Word Cloud – Areas of Interests





FIWG BRAINSTORMING: Key Investigator Clearinghouse (KIC)

Defining the Concept

- Common dataset accessible by agencies, investigators and investigators' institutions
- Investigator or his/her delegate maintains data and “authorized” access to agencies/institutions as a condition of applying for or receiving funding
- API allows interface into agency/institutional systems



Insights and Comments from Federal Panel Yesterday

- All agencies are aligning to focus on harmonization; Michelle and Jean are co-chairs on workgroup
- NIH has identified that this is still a significant issue
- All agencies agree that when evaluating improper influence, a lens should be applied to identify potential influence or relationship, real/apparent, that could impact a) the integrity of the research or b) overlap (scientific budget, commitment)
- NSF has developed a “Table” and clarification on consulting which will be released upon approval by OMB.
- NIH has shifted the required date of implementation for Notice NOT-OD-21-073 to January 25, 2022.
- DoD has created a risk framework that they plan to deploy to identify potential risk areas in this pace
- Universities continue to have questions about definitions (e.g. consulting, research) and necessary reporting for various elements of outside activities



Faculty Perception on Key Issues

- Collaborations, including authorship (Laura)
- Outside activities, including consulting (Alice, Laura)
- 9 month appointments / appointment terms generally (Michele, Alice)
- Variance in disclosure requirements within institutions and across agencies (Michele)
- Perceived persecution of international colleagues (Alice)



Pseudo-Poll

- A. Not an issue for us
- B. Somewhat of an issue
- C. Significant issue
- D. Critical issue
- E. Our most important issue



Administrative Perception on Key Issues

1. UCF COI Snapshot
2. State of Florida Foreign Influence Legislation
3. Red Flag Indicators and Assessments
4. Interviews and Investigation Process
5. Foreign Influence Question



Administrative Perception on Key Issues

Activities with a Foreign Entity

- Questions 12a-12d apply to individuals engaged in the design, conduct, and/or reporting of UCF research.
- 12a. Do you engage in a compensated outside activity(ies) with a foreign entity that relates to your UCF area of expertise?
- 12b. Do you engage in an uncompensated outside activity (ies) with a foreign entity that relates to your UCF area of expertise?
- 12c. Do you participate in a foreign government talent or recruitment program?
- 12d. Do you have a financial interest with a foreign entity that has not already been disclosed in 12(a)-(c)?



Transition to Q&A



Thanks!

- Logistics & Coordination

- Lillian Andrews (NAS)
- David Wright (FDP)

- Panelists

- Doug Backman
- Dr. Michele Masucci
- Dr. Laura McCabe
- Dr. Alice Young



Next FDP Session

FDP September Meeting

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