# Programmatic Committee Reports
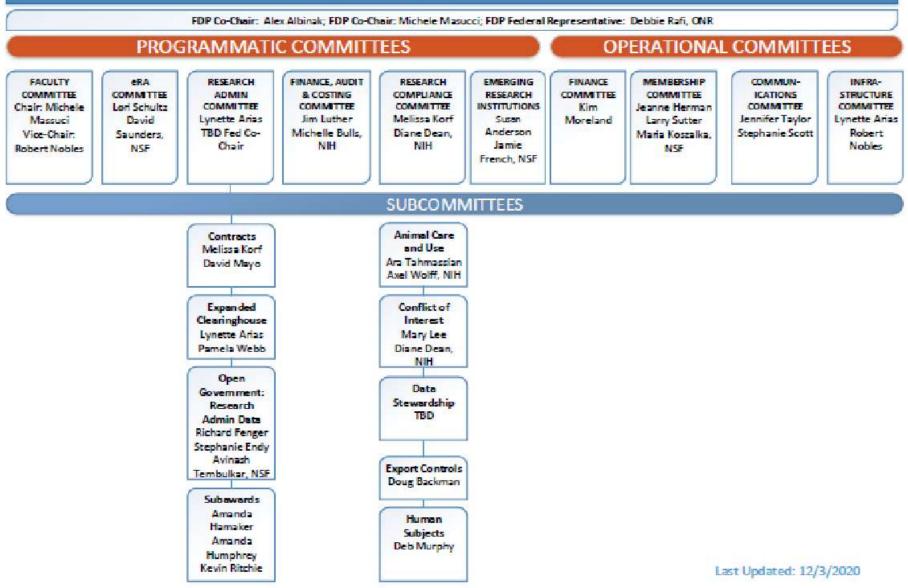
January 2021

# Committee Types

- In addition to the overarching Executive Committee, the FDP has four Operational Committees:
  - Finance
  - Membership
  - Communication
  - Infrastructure
- There are also six Programmatic Committees, each with their own subcommittees and working groups:
  - Faculty
  - eRA
  - Research Administration
  - Finance/Costing/Audit
  - Research Compliance
  - ERI

# FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

*This Organizational Chart includes components of the FDP governance structure only. A detailed listing of FDP Current Activities/Initiatives can be found by clicking on this box.*

## FDP EXECUTIVE COMMITTEE

FDP Co-Chair: Alex Albinak; FDP Co-Chair: Michele Masucci; FDP Federal Representative: Debbie Rafi, ONR

## PROGRAMMATIC COMMITTEES

| FACULTY COMMITTEE | eRA COMMITTEE | RESEARCH ADMIN COMMITTEE | FINANCE, AUDIT & COSTING COMMITTEE | RESEARCH COMPLIANCE COMMITTEE | EMERGING RESEARCH INSTITUTIONS |
|---|---|---|---|---|---|
| Chair: Michele Massuci Vice-Chair: Robert Nobles | Lori Schultz David Saunders, NSF | Lynette Arias TBD Fed Co-Chair | Jim Luther Michelle Bulls, NIH | Melissa Korf Diane Dean, NIH | Susan Anderson Jamie French, NSF |

## OPERATIONAL COMMITTEES

| FINANCE COMMITTEE | MEMBERSHIP COMMITTEE | COMMUN-ICATIONS COMMITTEE | INFRA-STRUCTURE COMMITTEE |
|---|---|---|---|
| Kim Moreland | Jeanne Herman Larry Sutter Maria Koszalka, NSF | Jennifer Taylor Stephanie Scott | Lynette Arias Robert Nobles |

## SUBCOMMITTEES

| | |
|---|---|
| **Contracts** Melissa Korf David Mayo | **Animal Care and Use** Ara Tahmassian Axel Wolff, NIH |
| **Expanded Clearinghouse** Lynette Arias Pamela Webb | **Conflict of Interest** Mary Lee Diane Dean, NIH |
| **Open Government: Research Admin Data** Richard Fenger Stephanie Endy Avinash Tembulkar, NSF | **Data Stewardship** TBD |
| **Subawards** Amanda Hamaker Amanda Humphrey Kevin Ritchie | **Export Controls** Doug Backman |
| | **Human Subjects** Deb Murphy |

Last Updated: 12/3/2020

# Faculty Committee

**Michele Masucci, PhD**
Vice President for Research, Temple University
Chair of the Faculty Committee, Co-Chair of the FDP

**Robert Nobles, DrPH, MPH, CIP**
Vice President for Research Administration, Emory University
Vice Chair of the Faculty Committee

# Faculty Committee

Structure of the Faculty Committee

- The Faculty Committee of the FDP consists of all named institutional faculty representatives of the FDP

- The Faculty Committee meets as a committee of the whole during each FDP meeting

- The Faculty Committee activities are coordinated through a steering committee consisting of co-chairs and liaisons to FDP committees and initiatives; the Steering Committee meets monthly throughout the year to plan the Faculty program activities at FDP meetings and coordinate across initiatives of the FDP

# Faculty Committee

- Steering Committee participants

  - Michele Masucci* – Elected Chair of Faculty Committee
  - John Hildebrand – National Academies Liaison
  - George Uetz – Co-Chair, Communications Committee
  - Jerry Cohen – Co-Chair, Joint Application Design (JAD)
  - Larry Sutter* – Co-Chair, Membership Committee
  - Alice Young – Liaison, Research Administration Committee
  - Susan Sloan* – Director of GUIRR
  - Sandy Schneider – Lead Scientist, Faculty Workload Survey
  - David Robinson* – Liaison, Finance Committee
  - Laura McCabe – Liaison, Foreign Influence Working Group
  - Jason Carter – Co-Chair, Nominations Working Group
  - Robert Nobles* – Co-Chair, Infrastructure Committee

  * Members of FDP Executive Committee

# Faculty Committee

- Major initiatives and opportunities for participation include:
    - Implementation of the faculty workload survey
    - Development of FDP evaluation metrics
    - Working group on FDP publications
    - Faculty Administration Collaboration Team (FACT)
    - Cross cutting engagement across the FDP and representation of Faculty perspectives in research administration throughout those activities
    - Identification of Faculty relevant demonstration projects
    - Convening program activities at FDP meetings that represent and reflect Faculty perspectives on FDP topics
    - Engagement with the Federal Representatives on the faculty experience

# eRA Committee

Lori Schultz, University of Arizona
David Saunders, National Science Foundation

# Electronic Research Administration (eRA) Committee

- Purpose:

    The Electronic Research Administration (ERA) Committee provides guidance for and support of eRA needs. The eRA Committee identifies opportunities to reduce faculty and administrator burden We inform federal e-grants activities by providing institutional input and support to the development of improved electronic interfaces between the government and the research community. We also explore the use of technology for all FDP committees and workgroups.

# Electronic Research Administration (eRA) Committee

- Committee Members:
  - Sonya Arora, National Science Foundation
  - Tammy Custer, Cornell University
  - Stephen Dowdy, University of Maryland
  - Vonda Durrer, University of Virginia
  - Kasima Garst, National Institutes of Health
  - Kim Griffin, Northwestern University
  - Jim Kresl, University of Washington (JAD Chair)
  - Emily Lacy, University of Texas, Dallas
  - Kim Mann, Massachusetts Institute of Technology
  - Debbi Nixon, Duke University
  - Carolyn Pappas, University of Michigan
  - Joel Snyderman, National Institutes of Health
  - Ron Splittgerber, Colorado State University
  - Courtney Swaney, University of Texas, Austin
  - Mark Sweet, University of Wisconsin, Madison
  - Tapiana Wray, University of Alaska, Fairbanks

# Electronic Research Administration (eRA) Committee

- Committee Goals
  - Integrate more broadly with FDP programmatic committees on demonstrations with technological requirements
  - Leverage FDP membership to use & improve SciENcv
  - Grow the Joint Application Design (JAD) group to take advantage of their expertise beyond work with Grants.gov
  - Assist FDP member schools with UEI transition

# Electronic Research Administration (eRA) Committee

- Volunteer opportunities/contacts for this year
  - We need your feedback on:
    - SciENcv
    - UEI transition questions
    - Federal Agency System Matrix
    - Open Government : LOC Blockchain
  - Membership opening coming soon

# Research Compliance Committee

Melissa Korf, Harvard Medical School

Diane Dean, National Institutes of Health

# Research Compliance Committee (RCC)

- The Research Compliance Committee reviews existing and new administrative requirements imposed by federal regulations and program officers related to research compliance with an emphasis on harmonization of requirements across federal agencies, reduction of redundancies, and identification of good practices. This includes but is not limited to human subjects protections, animal care and use, conflict of interest, export controls, and data stewardship.

# RCC Structure

- Human Subjects Protections
  - Co-Chair: Debra Murphy (Arizona State University)
  - Co-Chair: Vacant
- Conflict of Interest
  - Co-Chair: Diane Dean (National Institutes of Health)
  - Co-Chair: Mary R. Lee (Stanford University)
- Animal Care and Use
  - Co-Chair: Ara Tahmassian (Harvard University)
  - Co-Chair: Axel Wolff (National Institutes of Health)
- Export Controls
  - Co-Chair: Doug Backman (University of Central Florida)
  - Co-Chair: Vacant
- Data Stewardship
  - Co-Chair: Vacant
  - Co-Chair: Vacant

# RCC Progress and Goals

- RCC Goal: Review the 2018 Faculty Workload Survey report to identify gaps in current committee activities and develop a road map of priorities for Phase VII.
  - Join the Research Compliance Committee session on Wednesday, January 13th, 3:00-4:00 Eastern to help develop the RCC road map!
- Human Subjects Protections
  - IRB Exempt Wizard final report and plan for sustainable launch
- Conflict of Interest
  - Conflict of Commitment Working Group (Co-Chairs: Amanda Humphrey and Alice Young) - gather information on agency requirements to use as a tool to advocate for greater consistency across agencies
- Export Controls
  - Participation in Foreign Influence Working Group

# RCC Animal Care and Use – IACUC MOU Working Group

- Co-Chairs: Amanda Humphrey (Northeastern) and Keri Godin (Brown)
- Collaboration with Subawards to create a sample MOU and corresponding guidance to codify each institution's responsibilities related to the care and use of animals when collaborating on vertebrate animal research.
- Progress over the previous year:
  - Via two subgroups, generated rough drafts of a sample MOU and associated guidance. Also met as a larger group to ensure alignment between the two draft work products.
- Goals for upcoming year:
  - Complete final working draft of MOU document and pilot at volunteer institutions
  - Publish guidance for feedback from FDP member community

# RCC Animal Care and Use – CUSP

- Co-Chairs: Aubrey Schoenleben (University of Washington) and Sally Thompson-Iritani (University of Washington)

- The goal of the Compliance Unit Standard Procedure (CUSP) is to develop an online venue where participating institutions can share standard procedures used in animal care protocols.

- Help Desk now receiving and managing emails to cusp@thefdp.org.

- For a more detailed update from Julie Sharp (University of California, San Francisco), join the Compliance Unit Standard Procedure/Universal Protocol Template session on Tuesday, January 12th, 3:00-4:00 Eastern.

# RCC CUSP – Current Progress

- Alpha Testing in Progress!
  - Initial testing of system to identify major bugs, usability issues, and feature gaps.
  - Largely performed by tech team and 2-3 institutions
  - Dev Team: David Wright (FDP-designated resource)
  - Testers: Scott Bury, Elaine Kim, Ellen Ladenheim, Eva McGhee

- **Fall 2020 AALAS National Meeting Session** (panel and demo video) - On the CUSP: A New Option for Addressing Administrative Burden

- Drafting Help Page content for new users (e.g., data dictionary, user definitions)

# RCC CUSP – Next Steps

- Beta Testing anticipated to begin in Q1 of 2021
  - Second phase of testing to identify bugs and other technical issues
  - Addition of download and SSO features
  - Includes 6-8 institutions
  - Will collect additional feedback to help with implementation (e.g., FAQs).
  - Business process (and supporting materials) ready for beta testing.
  - Training of testers completed!

# RCC Data Stewardship – DTUA Working Group

- Co-chairs: Diana Boeglin (University of Iowa) and Kris McNitt (Penn State)
- Recently finalized new templates/samples and posted for use:
  - Reciprocal DTUA Template
  - Collaborative DTUA Sample
  - COVID-19 DTUA Sample
- Next Steps:
  - Finalize updated FAQs to incorporate guidance on newly posted samples/templates
  - Continue to refine template documents to best meet the needs of the membership

# RCC Data Stewardship – Open Data Access

- NIH recently posted the [Final NIH Policy for Data Management and Sharing](#) with an effective date of January 25, 2023.

- The Data Stewardship subcommittee will explore opportunities to support FDP member organizations in compliance with this new policy while mitigating resulting administrative burden, which may include the establishment of new working groups, as necessary.

- Join the Contracts & Data Stewardship session on Wednesday, January 13th, 11:00-12:30 Eastern to learn more!

# RCC Volunteer Opportunities

- If interested in any of the **vacant subcommittee co-chair positions or if generally interested in becoming more involved** with the Research Compliance Committee, contact Melissa Korf (Melissa_Korf@hms.Harvard.edu).
- **IACUC MOU Working Group**: welcomes new members to work on the draft MOU and guidance as well as volunteers to participate in the upcoming pilot. Contact: Amanda Humphrey (a.humphrey@northeastern.edu) or Keri Godin (Keri_Godin@Brown.edu).
- **DTUA Working Group**: If interested in helping to continue refining the templates/samples, contact: Diana Boeglin (diana-boeglin@uiowa.edu) or Kris McNitt (kad195@psu.edu).
- **Data Stewardship**: Potential new working groups related to the NIH Policy for Data Management and Sharing. Contact Melissa Korf (Melissa_Korf@hms.harvard.edu) if you have suggestions and/or would like to participate!

# Emerging Research Institution (ERI) Committee

Susan Anderson, College of Charleston
Jamie French, National Science Foundation

# Emerging Research Institution (ERI) Committee

## Phase VI

Attained FDP Committee status

Hosted FDP Meeting sessions led by Federal Agency officials

- AFOSR, NASEM, NIH, NSF, USDA
- Focus on ERI-specific programs
- Information on increasing competitiveness

## Phase VII

Membership nearly doubled to 48 ERI institutions

Goals

- Encourage and facilitate ERI representation in FDP demonstrations, committees, working groups
- Broaden knowledge about ERIs by non-ERI members and Federal Agency partners
- Seek additional Federal Agency participation in ERI activities

# ERI Committee

Volunteer opportunities:

- Actively participate in FDP demonstrations, working groups, and subcommittees to represent ERI members

- Participate in ERI Committee leadership

- Assist with ERI virtual meetings between regular FDP meetings

- Explore potential ERI activities

- Consider additional Federal Agency participation

Contact information:

Susan Anderson, ERI Co-Chair

Assistant Vice President for Research and Director

Office of Research & Grants Administration, College of Charleston  andersons@cofc.edu

Sign up for the ERI Mailing List: FDP-ERI-L
        at Mailing Lists - The Federal Demonstration Partnership (thefdp.org)

# Research Administration Committee

Lynette Arias, University of Washington

Committee Webpage:  http://thefdp.org/default/committees/research-administration/

# Research Administration Committee: Goals

**To identify opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability**

- Includes the areas of **contracting, proposal, award, subaward requirements**, and

- Processes and **general research administration areas** not targeted for coverage by other programmatic committees

**Subcommittees, Working Groups and Initiatives**

- Open Government: Research Administrative Data Subcommittee

- Subawards Subcommittee

- Expanded Clearinghouse Subcommittee

- Contracts Subcommittee

- Proposal Burden Reduction Initiative

- Faculty Administrator Collaboration Team

- Foreign Influence Working Group

# Research Administration Committee: Members

| Name | Affiliation | |
| --- | --- | --- |
| Lynette Arias | University of Washington | Univ Admin Co-chair |
| TBD | | Federal Co-chair |
| Alice Young | Texas Tech University | Faculty Liaison |
| Suzanne Alstadt | University of Arkansas for Medical Sciences | FACT Co-chair |
| Stephanie Endy | Brown University | OG:RAD Co-chair |
| Richard Fenger | University of Washington | OG:RAD Co-chair |
| Stephanie Gray | University of Florida | At large member |
| Amanda Hamaker | Purdue University | Subaward Co-chair |
| Amanda Humphrey | Northeastern University | Subaward Co-chair |
| Melissa Korf | Harvard University | Contracts Co-chair |
| Denise Moody | Boston VA Research Institute | At large member |
| Kevin Ritchie | Harvard University | Subaward Co-chair |
| Stephanie Scott | Columbia University | At large member |
| Courtney Swaney | University of Texas, Austin | At large member |
| Avinash Tembulkar | National Science Foundation | OG:RAD Co-chair |
| Pamela Webb | University of Minnesota | Clearinghouse Co-chair |

# Open Government:  Research Administration Data

Richard Fenger, University of Washington – Co-Chair

*Jan 2021 FDP Meeting*

# Open Government: Goals

- To provide a forum to track, analyze, and streamline the impact of **data driven initiatives** stemming from various Federal Government directives

- To facilitate the adoption of **standards** and their implementation to ensure transparency goals are met while maximizing positive impact and minimizing workload on all partners in the process

- To **collaborate** with other FDP committees as needed (e.g. eRA, Expanded Clearinghouse, Finance Comm)

- **Chairs:**
  - Stephanie Endy, Brown University
  - Richard Fenger, University of Washington
  - Avinash Tembulkar, National Science Foundation

- Open Government:  Home page & presentations

- LoC Survey Update
  - Workgroup meeting weekly since last Sept meeting
  - Completed small group analysis projects
  - Beginning to document projects and process
  - Targeting next FDP for presentation of draft report
  - Will work on dissemination of report and next steps after next meeting
  - *Support from NSF partners*

# Open Government:  Ongoing …

- Continuing Activities include:
  - Burden Equation (LoC / Draw-down survey)
  - Data Standards (FIBF) vetting and feedback via workgroup
  - Modernization Efforts including some blockchain/DLT related activities – knowledge gathering
  - Monitoring of PMA and CAP Goal efforts such as data standards (performance.gov and QSMOs)
  - Monitoring legislation in a post DATA Act world:  OPEN Act, GREAT Act, GONE Act
  - SNoA
  - UEI (eRA)

- Future initiatives include:
  - Further data driven efforts and assess opportunities for improvement

# OG:RAD Volunteer Opportunities

- **Thank You** to LOC analysis volunteers!
- Additional ideas for future projects always welcome
- Additional volunteers and participants also always welcome
- Contact co-chairs to get involved!
- More generally, listserv:
  fdp-open-gvmnt-l@lsw.nas.edu

# OG:RAD LoC  Analysis Volunteers

**Nate Martinez-Wayman**, Duke - Lead
**Chris Berner, NSF** - Lead
**Heather Nelson,** U of Idaho
Bryan Van Sickle, U of Michigan
Adam Mall, U of Michigan
Rosemary Madnick, U of Alaska
Valarie King, U of AL Huntsville
Gloria Greene, U of AL Huntsville
**Marlene Erno**, Wayne State
**Paul Gasior**, Johns Hopkins
Anthony Tosheff, Johns Hopkins
**Sarah Cody**, Texas Tech
Crystal Foy, Texas A&M
Afton Berger, Texas A&M
**Manuela Dokie**, U Texas at El Paso

**James Ringo**, UC Davis
Mario Reina-Guerra, UC Davis
Tim Reuter, Stanford
Ben Holyomes, Stanford
Jonathan Wong, UCLA

*Survey Creators:*
Deena Pineo, Tufts
Erin Wallett, U of Kentucky
Jim Becker, U of Illinois
Julie Luster, Princeton
Paige Brown, U of Kentucky
Robert Prentiss, U of Texas Austin
Robin Murph, OHSU
Victoria Briscoe, U of Texas Health
Urmila Bajaj, U of Virginia

*Bold – both survey and analysis*

# Subawards Subcommittee

Amanda Humphrey, Northeastern University – Co-Chair

*Jan 2021 FDP Meeting*

# Subawards Subcommittee: Goals

- To **develop, maintain and provide guidance** for issuing and managing subawards under federally funded grants, cooperative agreements and other funding mechanisms

- To **generate tools and resources** that will support efforts to reduce administrative burden related to processing and managing subwards

- **Chairs:**
  - Amanda Hamaker, Purdue University
  - Amanda Humphrey, Northeastern University
  - Kevin Ritchie, Harvard Medical School

- **Subawards Subcommittee Members:**
  - 7 active working groups

- **Subcommittee Webpage:**
  - http://thefdp.org/default/committees/research-administration/subawards/

# Subawards Subcommittee:  Progress in 2020

- Released updates Subaward templates Dec 2020 due to Uniform Guidance updates

- Releasing updated guidance

- Released new pilot Human Subjects Data Attachment 7, including guidance

- Subawards & IACUC Subcommittees created a working group that is currently working on IACUC MOU and guidance

- Planned new member orientation and updates training webinar which will take place January 27, 2021 from 2:30-4
    - Free to all
    - Will cover using templates, template updates and using Attachment 7

# Subaward Subcommittee: Plans for 2021 and beyond

- Current activities include:
  - IACUC MOU and guidance
  - Subcontract Guidance to accompany the new sample
  - Analyze data from Subawards Delays Survey:
    - Identify areas that cause delays in the issuance and execution of subawards and make recommendations.

- Future initiatives include:
  - Work with federal agencies on collaboration templates

# Volunteer Opportunities

- We would love your contributions!

- Email the chairs at [subawards@thefdp.org](mailto:subawards@thefdp.org)

- Ongoing groups:
  - Templates
  - Guidance
  - Analyzing subaward delay data

# Expanded Clearinghouse Subcommittee

Courtney Swaney, University of Texas Austin – Project Manager

*Jan 2021 FDP Meeting*

# Expanded Clearinghouse Subcommittee: Goals

- To create and maintain **one single web-based repository** for all FDP entities (and potentially others) to enter, maintain and update all **entity** related information about their organization

- To enable Pass-Through Entities to obtain and review all necessary entity-level information for their subrecipient entity monitoring and risk assessment activities in a timely and streamlined fashion **without requiring the time and resources to send, populate, receive and review forms on every transaction to obtain that same information**

- To utilize data available on FDP member organizations, in the aggregate, to **inform and support FDP activities overall**

# Expanded Clearinghouse Subcommittee: Members

- Chairs:
  - Lynette Arias, University of Washington
  - Pamela Webb, University of Minnesota

- Subcommittee Webpage:
  - http://thefdp.org/default/committees/research-administration/expanded-clearinghouse-subcommittee/

- Expanded Clearinghouse Subcommittee Members:

# Expanded Clearinghouse Subcommittee Members

Lynette Arias, University of Washington (Co-Chair)

Webb Brightwell, Harvard University

Amanda Hamaker, Purdue University

Neal Hunt, Vanderbilt University

Carrie MacCue - The Research Foundation for the State University of New York

Denise Moody, Boston VA Research Institute (Project Manager)

Robert Prentiss, University of Texas, Austin

Chris Renner, Vanderbilt University Medical Center

Jennifer Rodis, University of Wisconsin, Madison

Courtney Swaney, University of Texas, Austin (Project Manager)

Julie Thatcher, Institute for Systems Biology

Pamela Webb, University of Minnesota (Co-Chair)

**New Clearinghouse Email Addresses:**
**Systems Help: EChelp@thefdp.org**
**General Questions: ExpClearinghouse@thefdp.org**

# Expanded Clearinghouse Subcommittee: Progress in 2020

- Preparation for additional Phase VII members

- Phase VI members continuing to Phase VII (200)

- New Phase VII profile status (37) as of Jan 1st:
  - Published = 21
  - Pending updates requested = 5
  - Not submitted = 11

| Cohort | Implementation Date | # of Profiles | |
|--------|---------------------|---------------|---|
| 1 | March 2016 | 56 | Pilot participants – wave 1 |
| 2 | Aug 2016 | 73 | Pilot participants – wave 2 |
| 3 | July 2017 | 38 | Pilot participants – wave 3 |
| 4 | Aug 2018 | 45 | Remaining Phase VII members |
| 5 | Sept 2019 | 25 | Non-FDP member participants |
| 6 | Skipping | 0 | Skipping "6" in order to align "7" with Phase VII |
| 7 | Fall 2020 –Jan 2021 | 37 | Phase VII new member profiles |
| 8 | Early 2021 | TBD | Additional group of non-FDP member institutions ("Cohort 8") |

# Exp Clearinghouse Subcomittee: Plans for 2021 and beyond

- Complete onboarding new Phase VII members
- Add additional non-FDP member institutions
- Membership database /Clearinghouse collaboration
- Financial questionnaire for non-Single-Audit institutions
- Continued system enhancements
- Continue engaging with European colleagues in developing Due Diligence/University of Kent

# Contracts Subcommittee

Melissa Korf, Harvard Medical School – Co-chair

*Jan 2021 FDP Meeting*

# Contracts: Subcommittee Goals

- Co-Chairs:
  - David Mayo, California Institute of Technology
  - Melissa Korf, Harvard Medical School
  - Vacant
- Goals:
  - To identify common practices in contracts processing that can be expedited by uniform procedures within FDP;
  - To design, monitor, and evaluate new procedures and concepts that respond to the legal requirements of the contracts process, but do so more efficiently;
  - To study the similarities and differences between the grant and contract process to see if gains in costs and efficiency can be generated by adapting some of the FDP grant procedures for use with contracts; and
  - To provide a forum for discussion of and possible resolutions for contracting issues as they arise for member institutions and agencies.

# Contracts: Progress and Next Steps

- Data Transfer and Use Agreement (DTUA) template project (in collaboration with Data Stewardship)
  - See Data Stewardship update for current progress and volunteer opportunities
- Other Transaction Authority (OTA) Agreements working group:
  - Co-chairs: Mike Kusiak, University of California; Sarah White, University of Tennessee Health Science Center
  - Completed survey of the membership to gauge experiences with OTAs and identify potential pain points
  - Next Steps: Survey data will be used to complete white paper.

# Contracts: Progress and Next Steps

- Troublesome Clauses Database 2.0
  - Initial step is to develop and complete a survey of working group members to help COGR respond to an information request from DoD.
    - Will use this initial survey to help refine the data elements that should be included in the reinvented Troublesome Clauses Database.
  - Will reinvent the database to track information relevant to current issues in Contracts with an eye to selecting a platform that will make entering and accessing information user friendly.
  - Develop user guide materials for the database as well as guidance materials to help member organizations identify and navigate the clauses currently causing the most trouble!

# Volunteer Opportunities

- With Alex McKeown moving on from her Contracts co-chair position to become the FDP Co-Chair, one of the Contracts co-chair positions is now vacant.

- As the Troublesome Clauses Database 2.0 moves beyond this initial planning phase, we anticipate additional volunteer opportunities. Email Melissa_Korf@hms.harvard.edu if you're interested in participating in future phases.

- We are also interested in hearing your ideas for additional projects/issues for Contracts to tackle!

# FEDERAL DEMONSTRATION PARTNERSHIP
## Redefining the Government & University Research Partnership

# Proposal Initiatives

Amanda Hamaker, Purdue University – Co-chair
Lori Schultz, University of Arizona – Co-chair

*Jan 2021 FDP Meeting*

# Proposal Initiative: Goals

**Purpose:** To engage FDP in proposal related admin burden reduction initiatives focused on business processes and requirements

- Co-leads:        Amanda Hamaker, Purdue University
  Lori Schultz, University of Arizona (eRA)
- Collaboration with eRA Committee (system focused)
- Potential to collaborate with many other FDP committees, working groups, and federal partners

**Current Status:**

- Ongoing discussion/brainstorming
- Working to identify areas of burden related to proposal preparation/submission that may benefit from a more focused initiative from FDP
- Seeking interested volunteers for discussion groups

# Proposal Initiative: 2020 & 2021

**Activities in 2020:**

- SciENcv - Participated in SciENcv session at the September meeting.
- Research.gov – Engaged in discussions with NSF regarding the transition to Research.gov.
- Held initial brainstorming session to start gathering areas of focus for this group

- **Activities in 2021:**

  - Additional brainstorming zoom meetings
  - Priority setting and next steps of planning

Questions?

or

Would like to volunteer for any of the activities within Research Administration Committee?

ariasl@uw.edu

# Finance, Costing, & Audit Policy Update

Co-Chairs:
Michelle Bulls, NIH Office of Policy for Extramural Research Administration
Jim Luther, Duke University

January 11, 2021
Plenary Update

# GOALS

- To reduce administrative burden for faculty, staff and federal partners while implementing new and existing federal regulations
  - In the areas of finance, audit, costing policies, procurement and documentation of personnel expenses
  - Without compromising accountability
- **Explore federal initiatives to identify potential administrative burden and financial impacts**
- Harmonization of requirements across federal agencies, reduction of redundancies and identifying good practices

# Working Group Leaders

## Uniform Guidance: Procurement

- Co chairs: Wade Wargo, Office of Naval Research; Doug Backman, University of Central Florida; Edwin Bemmel, University of Miami

- Significant support: Cory Harms, Iowa State University; BJ Pivonka, University of Connecticut

## Administrative Cost Working Group

## Foreign Influence (Joint with all Committees)

- Pamela Webb / Jim Luther: co-chairs of Working Group

## LOC Survey (Joint with Research Administration)

- Chris Berner, NSF; Stephanie Endy, Case Western Reserve; Nate Martinez-Wayman, Duke

# ThoughtExchange

**September 23, 2020**

- "For this session, what are the Post-award topics that we should address to partner more effectively with federal colleagues & reduce burden & more effectively support the research mission? This could include financial reporting/LOC, costing implications of policies, COVID audit issues, etc."

# Summary of "Thoughts"

- FFR/FCTR/SubAcct/LOC

- Harmonization

- Miscellaneous
  - Credits and Pennies
  - Data Storage Costs
  - Effort Reporting
  - Standardize NOA
  - Treasury offset
  - UG Changes and clarifications
  - Uniform usage of SF425

- COVID

# Survey Poll ⮕ 2021 Priorities

- What should be the Number 1 Priority of this committee?

  ⟹ 1) Reducing financial reporting burden (FFR/FCTR/LOC)

  2) COVID Audit Issues/Prep

  3) Harmonization

  4) Direct Charge issues (PPE, Data Storage, Publication, etc.)

  ⟹ 5) Improving Cash Management Practices (LOC harmonization/modernization; Treasury Offset transparency; de minimis refunds post-closeout, etc.)

  6) Faculty Workload Survey – Analyzing & addressing burden in Post-award areas

  7) Other

Subcommittees being formed – more formal update at next meeting

# Session:

## Wednesday, January 13

## 1:00 – 2:30

# More Volunteers Welcome!

# Volunteer Opportunities

- Newly formed Nominating Working Group
  - Michael Kusiak
  - Jason Carter
- Opportunities to participate in both Operational and Programmatic Committees
  - Leadership –Committees and Subcommittees
  - Membership
    - Subcommittees
    - Working Groups
  - Participation in Demonstrations
- Stand by for the *Call for Volunteers*