



**FEDERAL DEMONSTRATION PARTNERSHIP**

**Redefining the Government & University Research Partnership**

# Programmatic Committee Reports

January 2020



# Committee Types

- In addition to the overarching Executive Committee, the FDP has four Operational Committees:
  - Finance
  - Membership
  - Communication
  - Infrastructure
- There are also six Programmatic Committees, each with their own subcommittees and working groups:
  - Faculty
  - eRA
  - Research Administration
  - Finance/Costing/Audit
  - Research Compliance
  - ERI



*This Organizational Chart includes components of the FDP governance structure only, a detailed listing of FDP Current Activities/Initiatives can be found by clicking on this box.*

**FDP EXECUTIVE COMMITTEE**

**FDP CO-Chair:** Richard Seligman; **FDP Co-Chair:** Michele Masucci; **FDP Federal Representative:** Debbie Rafi, ONR

**PROGRAMMATIC COMMITTEES**

**OPERATIONAL COMMITTEES**

**FACULTY COMMITTEE**  
 Chair: Michele Massuci  
 Vice-Chair: Robert Nobles

**eRA COMMITTEE**  
 Mark Sweet  
 David Saunders, NSF

**RESEARCH ADMIN COMMITTEE**  
 Lynette Arias  
 Wade Wargo, ONR

**FINANCE, AUDIT & COSTING COMMITTEE**  
 Jim Luther  
 Michelle Bulls, NIH

**RESEARCH COMPLIANCE COMMITTEE**  
 Alex Albinak  
 Diane Dean, NIH

**EMERGING RESEARCH INSTITUTIONS**  
 Susan Anderson  
 Jamie French, NSF

**FINANCE COMMITTEE**  
 Kim Moreland

**MEMBERSHIP COMMITTEE**  
 Jeanne Herman  
 Larry Sutter  
 Maria Koszalka & Charisse Carney-Nunes, NSF

**COMMUNICATIONS COMMITTEE**  
 Jennifer Taylor  
 Stephanie Scott

**INFRA-STRUCTURE COMMITTEE**  
 Lynette Arias  
 Robert Nobles  
 David Wright

**SUBCOMMITTEES**

**Contracts**  
 Alex Albinak  
 Melissa Korf  
 David Mayo

**Expanded Clearinghouse**  
 Lynette Arias  
 Pamela Webb

**Open Government: Research Admin Data**  
 Richard Fenger  
 Stephanie Endy  
 Avinash Tembulkar, NSF

**Subawards**  
 Stephanie Scott  
 Amanda Hamaker  
 Amanda Humphrey

**Animal Care and Use**  
 Ara Tahmassian  
 Axel Wolff, NIH

**Conflict of Interest**  
 Mary Lee  
 Diane Dean, NIH

**Data Stewardship**  
 Melissa Korf  
 Rick Ikeda, NIH

**Export Controls**  
 Doug Backman

**Human Subjects**  
 Deb Murphy  
 Jane McCutcheon



# FEDERAL DEMONSTRATION PARTNERSHIP

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## Faculty Committee

Robert Nobles, Emory University

Michelle Masucci, Temple



# FEDERAL DEMONSTRATION PARTNERSHIP

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## eRA Committee

Mark Sweet, University of Wisconsin – Madison  
David Saunders, National Science Foundation



# MEMBERS

Mark Sweet (Co-chair)

David Saunders (Co-Chair)

Joel Snyderman

Tammy Custer

Stephen Dowdy

Thomas Drinane

Debbie Nixon

Lori Ann M. Schultz

Courtney Swaney

Ron Splittgerber

Don Turner

Priya Jayaraman

Kasima Garst

Tapiana Wray

Carolyn Pappas

Kim Mann

Sonya Arora

Vonda Durrer

Jim Kresl

Emily Lacy

Kim Griffin

David Robinson (Faculty Liaison)

University of Wisconsin, Madison

National Science Foundation

National Institutes of Health

Cornell University

University of Maryland

Dartmouth College

Duke University

University of Arizona

University of Texas, Austin

Colorado State

St. Jude Children's Research Hospital

National Science Foundation

National Institutes of Health

University of Alaska

University of Michigan

Massachusetts Institute of Technology

National Science Foundation

University of Virginia

University of Washington

University of Texas, Dallas

Northwestern University

Oregon Health & Sciences University

New Members



# eRA Committee

- Identifies opportunities to reduce Faculty and administrator burden in areas of electronic processes and communications with federal Agencies.
- Informs the federal e-grants activities by providing institutional input and support to the development of improved electronic interfaces between the government and the research community.
- Provides IT consultation for demonstrations, pilots and committees as requested.



# GOALS

- Participate in FDP working groups and committees to provide guidance for and support of eRA needs
- Streamline Grants Life-Cycle by working with federal agencies and institutions to use standards-based electronic systems to reduce administrative burden and improve accountability
- Bring FDP into 21st Century by exploring use of technology for FDP committees and workgroups to enhance and facilitate communication and planning



# Progress in 2019

- Federal Agency Matrix:  
<https://go.wisc.edu/fedmatrix> -- Soon to be on FDP website!
- Continued working with SciENCv especially as it relates to NSF's potential requirement
- Continue engagement on Controlled Unclassified Information (CUI)
- Grants.gov Joint Application Design (JAD)



# Joint Application Design Team (JAD)

- Origins – Grants.gov recommendation in November 2008
  - *‘What I would like to propose is a Joint Application Design team – an informal working group of FDP members – to provide Grants.gov with feedback, guidance and counsel on applicant issues for Grants.gov’*
- Team Representation – Faculty, Administrators, Technical, Federal Agencies
- Vision – Represent stakeholders, working with advice from federal agencies as forum for change and improvement
- Mission: Be a strong voice for applicants in helping Grants.gov meet expectations of grantee and grantor communities



# JAD – Progress in 2019

- Grants.gov Workspace – Able to download full application, including attachments
- Grants.gov Transformation Project – Focused on user experience:
  - Provided quarterly feedback on usability & proposed enhancements
  - Participated in external user acceptance testing (June 2019)
- NSF enhancements supported by JAD:
  - Helped prioritize NSF's Grants.gov enhancements, resulted in submissions being picked up every 5 minutes
  - Provided input on adoption of SciENCv for biosketch generation, as well as transition to UTF-8 character set
- Navigating Federal Systems & Choosing the Best Submission Route:
  - Mapped out systems involved based on grant lifecycle stages
  - Created decision-tree templates for use in multiple scenarios based on differing roles and institution sizes
- Obtained approval from Executive Committee to open targeted virtual meetings to broader FDP community



# JAD – Plans for 2020

- Transformation Project – focus on S2S for applicants:
  - Move from SOAP to REST based APIs
  - Support testing using Swagger
- Revisit JAD mission and role moving forward:
  - Act as a sounding board for emerging topics
  - Raise visibility into JAD by holding at least 2 virtual meetings that are open to broader FDP community (topics TBD)
- Navigating Federal Systems & Choosing the Best Submission Route:
  - Compile insights and lessons learned for each stage under each scenario
  - Move on-going work from JAD to eRA Committee
  - Issue invitation for broader participation from FDP members
- Continue to work with Grants.gov & NSF to enhance submissions through Grants.gov



# Plans for 2020 and beyond

- Continue working with Open Government group
  - LOC Blockchain
  - HHS/OMB “Digital Dossier”
- Work with GSA/SAM.gov and other agencies to assist with smooth transition away from DUNS to UEI
- Joint Application Design (JAD) Team



# Plans for 2020 and beyond

- Continue engagement with agencies as CUI is rolled out
- Continue engagement on SciENcv and ORCID – NSF and NIH in particular
- Tools for FDP such as updates to website, ZOOM networking, etc.



# Opportunity for Feedback

- eRA has worked with GSA on the new SAM.gov Unique Entity Identifier (UEI)
- GSA wants some additional feedback/testing
- Specifically want feedback if you have multiple DUNS numbers
- Email Mark Sweet: [masweet@rsp.wisc.edu](mailto:masweet@rsp.wisc.edu)
  - Subject = SAM UEI Volunteer



# FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

## Research Administration Committee

Lynette Arias, University of Washington

Wade Wargo, Office of Naval Research

Committee Webpage:

<http://thefdp.org/default/committees/research-administration/>



# Research Administration Committee: Goals

To identify opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability

- Includes the areas of **contracting, proposal, award, subaward requirements**, and
- Processes and **general research administration areas** not targeted for coverage by other programmatic committees



# Research Administration Committee: Members

Name	Affiliation
Lynette Arias (Co-Chair)	University of Washington
Wade Wargo (Co-Chair)	Office of Naval Research
Alice Young (faculty liaison)	Texas Tech University
Alex Albinak	Johns Hopkins University
Stephanie Endy	Case Western Reserve University
Richard Fenger	University of Washington
Amanda Hamaker	Purdue University
Amanda Humphrey	Northeastern University
Melissa Korf	Harvard University
Denise Moody	Brigham & Women's Hospital
Stephanie Scott	Columbia University
Courtney Swaney	University of Texas, Austin
Avinash Tembulkar	National Science Foundation
Pamela Webb	University of Minnesota



# SUBCOMMITTEES, WORKING GROUPS & INITIATIVES

- Open Government: Research Administrative Data Subcommittee
- Subawards Subcommittee
- Expanded Clearinghouse Subcommittee
- Contracts Subcommittee



# Open Government: Goals

- To provide a forum to track, analyze, and streamline the impact of **data driven initiatives** stemming from various Federal Government directives
- To facilitate the adoption of **standards** and their implementation to ensure transparency goals are met while maximizing positive impact and minimizing workload on all partners in the process
- To **collaborate** with other FDP committees as needed (e.g. eRA, Expanded Clearinghouse) to address data-centric components of mutual interest



# Open Government Subcommittee: Members

- Chairs:
  - Stephanie Endy, Case Western Reserve University
  - Richard Fenger, University of Washington
  - Avinash Tembulkar, National Science Foundation
- Open Government: [Home page & presentations](#)



# Open Government Subcommittee: 2019 in Review

- Overarching – set up LoC workload survey and executing. Results expected in May 2020
- Jan:
  - OG:RAD History and Goals
  - RGM – Blockchain and Standard Notice of Award
  - FIBF – data standards working group
  - LoC Survey Recruitment
- May:
  - LoC Kick-off
  - DUNS/UEI
- Sept:
  - LoC Survey Update
  - NSF Blockchain effort



# Open Government: Plans for 2020 and beyond

- Continuing Activities include:
  - Burden Equation (LoC / Draw-down survey)
  - System Matrix Analysis
  - Data Standards (FIBF) vetting and feedback via workgroup
  - Blockchain related activities – knowledge gathering
  - Monitoring of PMA and CAP Goal efforts such as data standards
  - Monitoring legislation in a post DATA Act world: OPEN Act, GREAT Act
- Future initiatives include:
  - Further data driven efforts and assess impact



# Subawards Subcommittee: Goals

- To **develop, maintain and provide guidance** for issuing and managing subawards under federally funded grants, cooperative agreements and other funding mechanisms
- To **generate tools and resources** that will support efforts to reduce administrative burden related to processing and managing subawards



# Subawards Subcommittee: Members

- Chairs:
  - Amanda Hamaker, Purdue University
  - Amanda Humphrey, Northeastern University
  - Stephanie Scott, Columbia University
- Subawards Subcommittee Members:
  - 7 active working groups
- Subcommittee Webpage:
  - <http://thefdp.org/default/committees/research-administration/subawards/>



# Subawards Subcommittee: Progress in 2019

- Released major updates Subaward templates Sept 2019
- New Fixed Rate Clinical Research Subaward sample for NIH multi-site studies
- Updated Subcontract sample
- New Template Guidance Chart to assist in selecting the appropriate template for specific circumstances.
- Subawards & IACUC Subcommittees conducted highly attended webinar on 10/9/2019 titled *Agreements Between Collaborating Institutions Using Vertebrate Animals* (recording on website)
- Launched Subaward Delays Survey (closed 12/13/2019)
- Worked on a Data Transfer and Use Agreement (DTUA) attachment as an **option** for some institutions to include in subawards, rather than have as a separate agreement.



# Subaward Subcommittee: Plans for 2020 and beyond

- Current activities include:
  - Succession planning and evaluating Subcommittee structure
  - Subcontract Guidance to accompany the new sample
  - Analyze data from Subawards Delays Survey:
    - Identify areas that cause delays in the issuance and execution of subawards, and make recommendations.
- Future initiatives include:
  - Work with federal agencies on collaboration templates



# Expanded Clearinghouse Subcommittee: Goals

- To create and maintain **one single web based repository** for all FDP entities (and potentially others) to enter, maintain and update all **entity** related information about their organization
- To enable Pass-Through Entities to obtain and review all necessary entity-level information for their subrecipient entity monitoring and risk assessment activities in a timely and streamlined fashion **without requiring the time and resources to send, populate, receive and review forms on every transaction to obtain that same information**
- To utilize data available on FDP member organizations, in the aggregate, to **inform and support FDP activities overall**



# Expanded Clearinghouse Subcommittee: Members

- Chairs:
  - Lynette Arias, University of Washington
  - Pamela Webb, University of Minnesota
- Expanded Clearinghouse Subcommittee Members:
  - Steering Committee
  - Users Group – all Participating Organizations
- Subcommittee Webpage:
  - <http://thefdp.org/default/committees/research-administration/expanded-clearinghouse-subcommittee/>



# Expanded Clearinghouse Subcommittee: Steering Committee

Area/Role/Functions	Lead Partners
Overall Direction & Oversight	Lynette Arias/Pamela Webb (co-chairs)
Federal Agency Liaison(s)/Champions	multiple
Project Management	Courtney Swaney/Denise Moody
Help Desk	Courtney Swaney
Web Based System	Chris Renner/Michael Johnson
Education, Outreach & Evaluation	Julie Thatcher/Neal Hunt
Profile Review & Approval (new)	Lynette Arias/Pamela Webb
Profile Review & Approval (updates) & Data Analysis	Robert Prentiss/Webb Brightwell
Financial Questionnaire	Sara Clough/Lesley Schmidt Sindberg (thru 2019)
Long Term Planning	Jennifer Rodis/Amanda Hamaker



# Expanded Clearinghouse Subcommittee: Progress in 2019

- Focused on streamlining and standardizing processes to update and maintain the system
- Made changes to the system necessary in order to invite non-FDP member institutions to join
- Invited 20 non-FDP member organizations to join and hosted an informational webinar
- Supported and published 25 profiles for non-FDP member organizations
- Completed survey of non-FDP member organization profile implementation experience



# Exp Clearinghouse Subcommittee: Plans for 2020 and beyond

- Prepping for additional members of Phase VII
- Assessing feasibility of utilizing Clearinghouse as only FDP member profile
- Continuing to raise awareness with Federal agencies
- Ongoing development and maintenance to continue to enhance data integrity
- Explore feasibility of utilizing federal data systems interfaces and web services
- Assessing feasibility of adding ongoing, non-volunteer, support staff



# Contracts Subcommittee: Goals

- **Co-Chairs:**
  - Alexandra Albinak, Johns Hopkins University
  - David Mayo, California Institute of Technology
  - Melissa Korf, Harvard University
- **Goals:**
  - To **identify common practices in contracts processing** that can be expedited by uniform procedures within FDP;
  - To design, monitor, and evaluate new procedures and concepts that respond to the legal requirements of the contracts process, but do so **more efficiently**;
  - To study the similarities and differences between the grant and contract process to see if gains in costs and efficiency can be generated by **adapting some of the FDP grant procedures for use with contracts**; and
  - To **provide a forum** for discussion of and possible resolutions for contracting issues as they arise for member institutions and agencies.



# Contracts: Progress in 2019

- Data Transfer and Use Agreement (DTUA) template project (in collaboration with Data Stewardship)
  - See Data Stewardship update for current progress
- Other Transaction Authority (OTA) Agreements working group:
  - Co-chairs: Mike Kusiak, University of California; Sarah White, University of Tennessee Health Science Center
  - [Working Group description](#) finalized and posted to Contracts Webpage
  - Progress on initial information collection to prepare a white paper on OTAs
  - Developed survey of the membership; data will be used to complete white paper.



# Contracts: Plans for 2020 and beyond

- **Current initiatives:**
  - OTA Survey link to be sent out shortly after this meeting. Please be on the lookout and complete this survey to help us craft a white paper that will be the most useful to the membership!
- **Future initiatives include:**
  - Information/Data Security Clauses Working Group to create a resource for contract negotiators regarding the applicability and use cases for common contract clauses, including possible push-back arguments.
  - Discuss re-invigoration of the Troublesome Clauses database project.



Questions? Would like to volunteer?

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# FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

## Finance, Audit, and Costing Policy Committee

Michelle Bulls, NIH Office of Policy for Extramural Research  
Administration

Jim Luther, Duke University



# GOALS

- To reduce administrative burden for faculty, staff and federal partners while implementing new and existing federal regulations
  - In the areas of finance, audit, costing policies, procurement and documentation of personnel expenses
  - Without compromising accountability



- Explore federal initiatives to identify potential administrative burden and financial impacts
- Harmonization of requirements across federal agencies, reduction of redundancies and identifying good practices



# Working Group Leaders

## Uniform Guidance: Procurement

- Wade Wargo, Office of Naval Research; Doug Backman, University of Central Florida; Edwin Bemmell, University of Miami

## Administrative Cost Working Group

## Foreign Influence (Joint with all Committees)

- Pamela Webb / Jim Luther: co-chairs of Working Group

## LOC Survey (Joint with Research Administration)

- Chris Berner, NSF; Stephanie Endy, Case Western Reserve; Nate Martinez-Wayman, Duke



# Progress in 2018

- Costing and administrative burden impacts for faculty and administrators
  - Single Institutional Review Board (sIRB) direct & indirect charging
  - DHHS Payment Management System
  - Introduced the costing aspects of:
    - Rigor & Reproducibility, Research Integrity, & Public Data Access
- NIH notices/communication:
  - NIH Enforcement of Closeout Policies (NOT-OD-18-107)
  - NIH Standards for Documentation of Personnel Expenses (NOT-OD-18-108)
  - Wait...It's Not MY Grant?  
(<https://nexus.od.nih.gov/all/2018/05/29/waitits-not-my-grant/>)
- Procurement micro-purchase threshold (MPT) raised from \$3,500 to \$10,000, with an opportunity for institutions to implement a higher MPT



# Plans for 2019 and beyond

- Continue...
  - Administrative burden and costing aspects of:
    - Rigor & Reproducibility
    - Research Integrity
    - Public Data Access
  - Foreign Influence on Research Integrity
    - Joint with all Committees
  - LOC Survey: Joint with Research Admin Committee
  - Procurement – Areas of interest
    - Sole Source, Conflicts of Interest, Cost & Price Analysis, etc.



# Under Consideration

- Costing Implications of Public Data/Open Science
- Faculty Burden Survey – costing implications
- Publication Costs
- Federal Reporting: “pennies”, federal offset, FCTR, 120 days, closeout \$ threshold
- Single Audit: Threshold, Cash management, etc.



Thanks to Yesterday's  
Attendees for the  
Discussion!

More Volunteers Welcome!



# FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

## Research Compliance Committee

Alexandra Albinak, JHU

Diane Dean, NIH



# Research Compliance

Reviews existing and new administrative requirements imposed by federal regulations and program officers related to but not limited to the human research participant protections, animal use and care, conflicts of interest (individual and institutional), objectivity in research, and export controls. The emphasis should be on harmonization of requirements across federal agencies, reduction of redundancies and identifying good practices.



# Research Compliance Committee Members

- Alexandra Albinak, JHU (Co-Chair)
- Lynette Arias, UW
- Douglas Backman, UCF
- Diane Dean, NIH (Co-Chair)
- Sheila Garrity, GWU
- Rick Ikeda, NIH
- Melissa Korf, HMS
- Mary Lee, Stanford
- Michelle Masucci, Temple
- Jane McCutcheon, NYU
- Debra Murphy, ASU
- Robert Nobles, UTK
- Aubrey Schoenleben, UW
- Ara Tahmassian, Harvard
- Axel Wolff, NIH
- Alice Young, TTU



# Conflict of Interest

- Co-Chairs:

- Diane Dean (NIH)
- Mary Lee (Stanford)

- Goals

- Reviews existing and new administrative requirements imposed by federal regulations and program officers related to conflicts of interest (individual and institutional). The emphasis should be on harmonization of requirements across federal agencies, reduction of redundancies and identifying good practices.



# Conflict of Interest Progress in 2019

- Organizational Conflict of Interest Working Group:
- Co-Chairs:
  - Mary Lee (Stanford)
  - Joy Bryde (UNC)
- Progress
  - Reviewed OCI language, categorizing the clauses.
  - Resource documents collected and posted on the FDP website.



# Conflict of Interest

- Foreign Influence & Conflicts of Interest and Commitment:
  - Identified existing sponsor requirements as of 9/2019.
  - Goal to identify new sponsor requirements flowed down at time of proposal and at time of award.
  - Conflict of Commitment will be addressed
    - Foreign appointments and how that intersects with biosketch and other support
    - Effective practices for integrating pre-award and COI administrators
    - Training for enhanced awareness



# Data Stewardship

- **Co-Chairs:**

- Melissa Korf (Harvard University)
- Richard Ikeda (NIH)

- **Goals:**

- Reviews existing and new administrative requirements imposed by federal regulations and program officers related to research data security, retention, sharing and integrity. The emphasis should be on harmonization of requirements across federal agencies, reduction of redundancies and identifying good practices.



# Data Transfer and Use

- Co-Chairs:
  - Melissa Korf (Harvard)
  - Martha Davis (Brandeis)
- Progress
  - Additional DTUA template components released for use in sharing personally identifiable information.
  - [DTUA Template FAQs](#) posted to the webpage.
  - Pilot began November 1, 2018 and concluded October 31, 2019. Final list of participating organizations available [here](#).
  - In collaboration with Subawards Subcommittee, created an [Attachment 7](#) that can be used to incorporate DTUA terms into a subaward agreement.
  - Draft Collaborative DTUA template distributed for feedback.



# Data Stewardship

- Open Data Access Progress:
  - Session on the NIH [Strategic Plan for Data Science](#) and discussed potential related projects for the subcommittee
  - Session on [NIH STRIDES Initiative](#) to discuss how member organizations could leverage this initiative to support use of cloud resources in NIH-funded research.
  - Session on the new [NIMH Data Sharing Policy](#) and discussed opportunities to collaborate to support implementation



# Data Stewardship - 2020

- **DTUA Template Project:**
  - In continued collaboration with the Subawards Subcommittee, prepare guidance materials for use of the new Subaward DTUA Attachment 7 and host a related webinar.
  - Review feedback to finalize and post Collaborative DTUA.
  - Complete analysis of DTUA Pilot data and prepare white paper regarding the results.
- **Open Data Access:**
  - [Draft NIH Policy for Data Management and Sharing](#) – **Comments due TODAY!**
  - Explore additional opportunities to reduce the burden associated with data sharing and compliance with new and developing policies.



# Animal Care and Use

- Co-Chairs:
  - Axel Wolff (NIH)
  - Ara Tahmassian (Harvard)
- Subawards/Animal Care joint project -Stephanie Scott and Amanda Humphrey
- Compliance Unit Standard Procedure [CUSP] sharing site -Aubrey Schoenleben and Sally Thompson Iritani
- Universal Protocol Form - Bill Greer, Ron Banks



# Animal Care MOU/Subawards

- **Co-Chairs:**
  - Stephanie Scott (Columbia)
  - Amanda Humphrey (Northwestern)
- **Goals:**
  - Working group of IACUC administrators, sponsored projects administrators and federal representatives to create a subaward template that includes terms and conditions currently in animal care MOUs to reduce the burden of two agreements.



# CUSP Working Group

**Goal:** To develop an online repository where participating institutions can share standard procedures used in animal care protocols.

## Current Status:



## Alpha Testing

- System construction
- Initial testing of system
- Identify major bugs, usability issues and/or feature gaps
- Performed by tech team, plus 2-3 institutions

### Developers:

- David Wright (FDP)
- Jaret Langston (UAB)

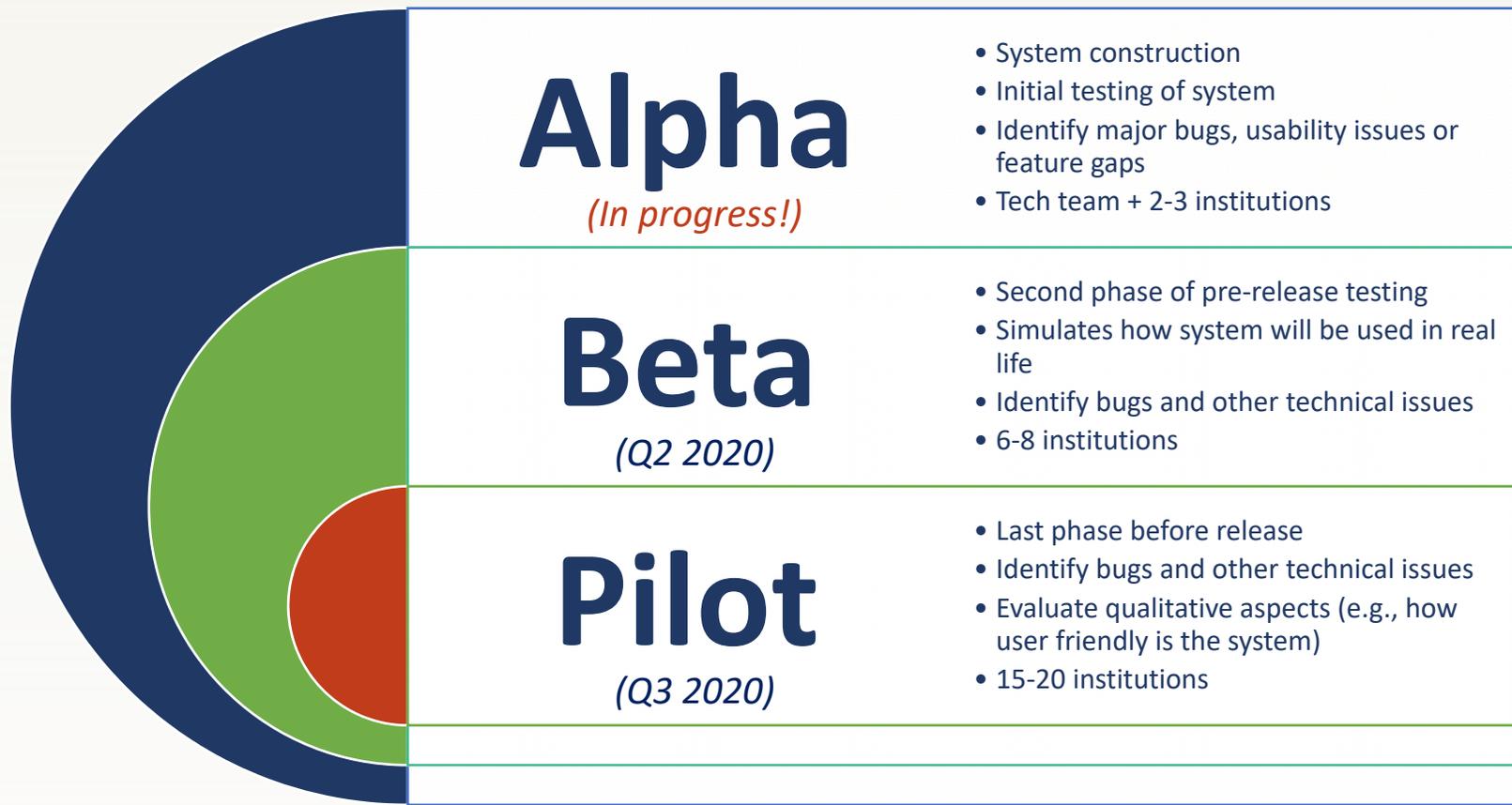
### Alpha Testers:

- Scott Bury (Vanderbilt)
- Elaine Kim (CSU)
- Ellen Ladenheim (JHU)
- Eva McGhee (Charles Drew)
- Aubrey Schoenleben (UW)
- Sally Thompson-Iritani (UW)



# CUSP Working Group

## Timeline for Development & Testing Phase:





# Universal Protocol Form

- **Co-Chairs:**

- Bill Greer (U of Michigan)
- Ron Banks (OUHSC)

- **Goals:**

- Along with the CUSP project, this project was named in the 21<sup>st</sup> Century Cures Act to reduce burden.
- Protocol template form that will allow investigators to check boxes noting the use of a standardized procedure (i.e. CUSP procedures)
- Will provide flexibility and capture assurance statements from the PI while removing unneeded information.



# Human Subjects

- Co-chairs:
  - Jane McCutcheon (NYU)
  - Debra Murphy (ASU)
- Exempt Wizard
  - Pilot was successful - proved to be accurate.
  - Questions were updated as needed for the revised Common Rule.
  - MOUs signed by five (5) participating institutions.



# Human Subjects

- Interim data analysis
  - Wizard 2.0 conservative to prevent any expedited protocols to pass.
    - This also kept exempt protocols from getting through.
    - Eliminated 1 question (20% exclusion rate).
  - End date 2/29/2020.
- Next steps
  - Universities can adopt the Wizard by connecting to ASU link if they have Qualtrics.
  - If not, can download the questionnaire and adapt with their system.



# Research Compliance - 2020

- Committee had a “mini-retreat” in May.
- Key Findings:
  - Self imposed burden continues to be a problem.
  - Participation is a factor (both university and federal).
  - FDP can have a role in breaking the cycle of increased burden due to adverse audit findings.
  - There are many more compliance burdens this committee can address.
- Next Steps:
  - Working group to do a “deep dive” into the FWS.
  - Explore creating training materials for administrators, both in compliance and in sponsored projects.



# FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

## Emerging Research Institution (ERI) Committee

Susan Anderson, College of Charleston

Jamie French, National Science Foundation



# MEMBERS

**Ball State University**

**Beckman Research Institute of City of Hope**

**Bradley University**

**Bucknell University**

**California State University, Sacramento**

**Charles R. Drew University of Medicine and Science**

**College of Charleston**

**Florida A&M University**

**Geisinger Health System**

**Georgia Southern University**

**Governors State University**

**Institute for Systems Biology**

**Lincoln University of Pennsylvania**

**Loyola University, Chicago**

**Morgan State University**

**Northern Illinois University**

**Nova Southeastern University**

**Salem State University**

**Southern Illinois University**

**Edwardsville**

**University of Maryland Center for Environmental Science**

**University of New Orleans**

**University of North Texas**

**University of South Alabama**

**University of Texas, Dallas**

**University of Texas, San Antonio**

**Wright State University**



# GOALS

- Encourage and facilitate ERI representation in FDP demonstrations, committees, working groups
- Assess ERI characteristics relevant to FDP goals
- Broaden knowledge about ERIs by non-ERI members and federal agency partners



# Progress in 2019

- Continued working with federal agency partners to increase mutual knowledge regarding agency practices and ERI challenges and questions
  - AFOSR: Air Force Basic Research, Emerging Research Institutions, January 2019 meeting
  - USDA: Funding opportunities at the National Institute of Food and Agriculture, May 2019 meeting
- Reviewed attendance data to identify trends that might indicate issues that we could address to encourage full ERI member participation



# Plans for 2020 and beyond

- **Current initiatives include:**
  - Seeking additional federal partner agency participation in ERI session
  - Hosting National Academies of Sciences, Engineering, & Medicine's Director of the Board on Higher Education & Workforce and Director of the Committee on Women in Science, Engineering, & Medicine for discussion of the NASEM 2019 report on Minority Serving Institutions: America's Underutilized Resource for Strengthening the STEM Workforce
  - Conducting outreach to ERI organizations for Phase VII