



# Conflict of Interest

***FDP COI Subcommittee Meeting  
& Working Group  
May 10, 2018***



# Conflict of Interest

## ***SUBCOMMITTEE CO-CHAIRS***

- ***Diane Dean, Director, Division of Grants Compliance and Oversight, Office of Policy for Extramural Research Administration OER, NIH - [deand@od31em1.od.nih.gov](mailto:deand@od31em1.od.nih.gov)***
- ***Mary Lee, Director, COI Office, Stanford University - [marylee@stanford.edu](mailto:marylee@stanford.edu)***



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## **AGENDA**

- 1. Business – Monthly meetings schedule, update to FDP COI Listserv***
- 2. COI Reqs DB Update – now open- & crowd-sourced***
- 3. WG on OCI - BIG MONEY***
- 4. Subcommittee Wrap-Up***



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## ***Business Items***

- Note Taker
- Monthly Subcommittee Calls will be used for WG calls
  - Second Tuesdays at 12:30pm PST
- Update to FDP COI Subcommittee Listserv
  - All of the January attendees who left business cards were added to the COI Listserv.
  - Please leave your business card or your contact info to be added.



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## ***COI Requirements DB Update***

- COI Reqs DB has launched!
  - not affiliated with any one group, non-partisan
  - Main purpose: serves as Open Source, crowd-sourced, ongoing COI Tool for academic research institutions
  - No longer focused on regulatory reform (but may be accessed as a non-partisan DB to inform discussions)
- No license needed – IT'S FREE!



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## ***COI Requirements DB Update***

- Start Page – has all the links and will be emailed to academic research institution collaborators who agree to the terms
- Terms – not-for-profit, agree to add 3-5 entries minimum per year, follow naming conventions
- Orientation Calls – planned for Wednesdays at 10am PST and 1pm PST, *upon request to Mary Lee*



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## ***Working Group on Organizational COI*** ***Schedule (monthly meetings)***

- Information gathering – Defs, case scenarios, etc.
- Analysis & Discussion – Is a model policy possible?
- Practical Use & Best Practices – What does our Pre-Award need now?
- Engagement with Federal partners
- Share our findings



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## ***Working Group on Organizational COI***

### ***Sample***

- Two common OCI requirements – FAR 9.5 & 2 CFR 200.381(c)(2)
- Nutshell – avoid unfair advantage to one offeror/proposer
- Other case scenarios
  - ONR White Paper giving examples of SETA contracts vs. performance contracts
  - DOE's EFRC (list names of all collaborators, co-committee members, advisors, advisees, co-authors for last 48 months)
  - NASA's OCI management and avoidance of OCI template, others





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## ***Subcommittee Wrap-Up***

Questions?

Concerns?